

## ORIGINAL ARTICLE

# Non-cyanobacterial diazotrophs mediate dinitrogen fixation in biological soil crusts during early crust formation

Charles Pepe-Ranne<sup>1</sup>, Chantal Koechli<sup>2</sup>, Ruth Potrafka<sup>3</sup>, Cheryl Andam<sup>2</sup>, Erin Eggleston<sup>2</sup>, Ferran Garcia-Pichel<sup>3</sup> and Daniel H Buckley<sup>1</sup>

<sup>1</sup>Department of Crop and Soil Sciences, School of Integrative Plant Sciences, Cornell University, Ithaca, NY, USA; <sup>2</sup>Department of Microbiology, Cornell University, Ithaca, NY, USA and <sup>3</sup>School of Life Sciences, Arizona State University, Tempe, AZ, USA

**Biological soil crusts (BSCs) are key components of ecosystem productivity in arid lands and they cover a substantial fraction of the terrestrial surface. In particular, BSC N<sub>2</sub>-fixation contributes significantly to the nitrogen (N) budget of arid land ecosystems. In mature crusts, N<sub>2</sub>-fixation is largely attributed to heterocystous cyanobacteria; however, early successional crusts possess few N<sub>2</sub>-fixing cyanobacteria and this suggests that microorganisms other than cyanobacteria mediate N<sub>2</sub>-fixation during the critical early stages of BSC development. DNA stable isotope probing with <sup>15</sup>N<sub>2</sub> revealed that *Clostridiaceae* and *Proteobacteria* are the most common microorganisms that assimilate <sup>15</sup>N<sub>2</sub> in early successional crusts. The *Clostridiaceae* identified are divergent from previously characterized isolates, though N<sub>2</sub>-fixation has previously been observed in this family. The *Proteobacteria* identified share >98.5% small subunit rRNA gene sequence identity with isolates from genera known to possess diazotrophs (for example, *Pseudomonas*, *Klebsiella*, *Shigella* and *Ideonella*). The low abundance of these heterotrophic diazotrophs in BSCs may explain why they have not been characterized previously. Diazotrophs have a critical role in BSC formation and characterization of these organisms represents a crucial step towards understanding how anthropogenic change will affect the formation and ecological function of BSCs in arid ecosystems.**

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## Introduction

Biological soil crusts (BSCs) are specialized microbial communities that form at the soil surface in arid environments and they fill a variety of important ecological functions. BSCs occupy plant interspaces and cover a wide, global geographic range (Garcia-Pichel *et al.*, 2003). For example, 80% of the ground cover in some regions of the Colorado Plateau are covered by BSCs (Karnieli *et al.*, 2003). The global biomass of BSC cyanobacteria alone is estimated at  $54 \times 10^{12}$  g C (Garcia-Pichel *et al.*, 2003). BSC nitrogen fixation (N<sub>2</sub>-fixation) is responsible for significant input of nitrogen (N) to arid environments (Evans and Belnap, 1999; Belnap, 2001). Interestingly, much of this fixed N is exported from the crusts in dissolved form through percolation or runoff and little is lost to volatilization (Johnson *et al.*, 2007). The presence of

BSCs is positively correlated with vascular plant survival due in part to N inputs from BSC (for review of BSC–vascular plant interactions, see Belnap *et al.*, 2003). These microbial ecosystems are not immune to climate change and changes in precipitation and temperature could alter BSC microbial community structure/membership and possibly BSC diazotroph diversity and N<sub>2</sub>-fixation (Garcia-Pichel *et al.*, 2013).

BSCs are highly susceptible to natural and anthropogenic disturbance (Garcia-Pichel *et al.*, 2013). Succession in BSC communities is characterized by transition from early successional ‘light’ crusts to mature ‘dark’ crusts (Belnap, 2002; Yeager *et al.*, 2004). Motile non-heterocystous cyanobacteria (for example, *Microcoleus vaginatus* or *M. steenstrupii*), which cannot fix N<sub>2</sub>, are pioneer colonizers of early successional crusts and are abundant in all types of BSCs (Yeager *et al.*, 2004; Garcia-Pichel *et al.*, 2013). Successional development of mature crust is accompanied by a change in color produced by secondary colonization with non-motile N<sub>2</sub>-fixing heterocystous cyanobacteria, which produce large amounts of sunscreen compounds that reduce soil albedo (Belnap, 2002; Yeager *et al.*, 2004). These heterocystous cyanobacteria (for example, *Scytonema*, *Spirirestis* and *Nostoc*) increase in abundance during crust

Correspondence: DH Buckley, Department of Crop and Soil Sciences, School of Integrative Plant Sciences, Cornell University, 705 Bradfield Hall, Ithaca, NY 14853, USA.

E-mail: dhb28@cornell.edu

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succession and are abundant in mature crusts (Yeager *et al.*, 2007, 2012). Heterocystous cyanobacteria are numerically dominant in surveys of BSC *nifH* gene diversity (Yeager *et al.*, 2007, 2004, 2012). For example, 89% of 693 *nifH* sequences derived from Colorado Plateau and New Mexico crusts were attributed to heterocystous cyanobacteria (Yeager *et al.*, 2007). Other BSC *nifH* sequences are attributed to *Alphaproteobacteria*, *Betaproteobacteria* and *Gammaproteobacteria*, as well as a *nifH* clade (*nifH* cluster III) that includes diverse anaerobes, such as clostridia, sulfate-reducing bacteria and anoxygenic phototrophs (Steppe *et al.*, 1996; Yeager *et al.*, 2007).

Two lines of evidence suggest that nitrogen fixers other than phototrophs are important in early successional crusts. First, the contributions of early successional BSC to N<sub>2</sub>-fixation in arid ecosystems may have been systematically under-estimated. In mature crusts, heterocystous cyanobacteria are abundant at the crust surface and their presence corresponds with maximal rates of acetylene reduction (Johnson *et al.*, 2005). However, in early successional crusts, rates of acetylene reduction are maximal below the crust surface and these communities possess few heterocystous cyanobacteria (Johnson *et al.*, 2005). N<sub>2</sub>-fixation rates are typically determined by areal measurements made at the crust surface with the acetylene reduction assay. Rates determined in this manner vary significantly across samples and studies (Evans and Lange, 2001). The reasons for inter-site and inter-study variability are complex and likely include the spatial heterogeneity of BSCs (Evans and Lange, 2001). However, the acetylene reduction assay is also subject to methodological artifacts that can be affected by the physical and biological characteristics of samples (see Belnap, 2001 for a review). If BSC N<sub>2</sub>-fixation is estimated by integrating rates across a depth profile (which eliminates constraints from diffusional limitation), then total rates of N<sub>2</sub>-fixation do not differ significantly between early successional and mature BSCs (Johnson *et al.*, 2005). This result suggests that diazotrophs other than heterocystous cyanobacteria are important contributors to N<sub>2</sub>-fixation in early successional BSC communities. Second, the bare soils that are colonized during the process of early crust formation are very low in N relative to mature crusts (Beraldi-Campesi *et al.*, 2009), but the cyanobacteria that initially colonize new crusts (*Microcoleus* spp., Garcia-Pichel and Wojciechowski, 2009) are unable to fix nitrogen (Starkenburger *et al.*, 2011; Rajeev *et al.*, 2013) and hence the source of N<sub>2</sub>-fixation required for the establishment of early successional crusts remains poorly characterized.

To determine the agency of nitrogen fixation in early developmental crusts, we conducted <sup>15</sup>N<sub>2</sub> DNA stable isotope probing (DNA-SIP) experiments with early successional Colorado Plateau BSCs conspicuously devoid of significant surface populations of heterocystous cyanobacteria. DNA-SIP with <sup>15</sup>N<sub>2</sub> has not been previously attempted with BSCs. DNA-SIP

provides an accounting of active diazotrophs on the basis of <sup>15</sup>N<sub>2</sub> assimilation into DNA, whereas *nifH* clone libraries merely account for microbes with the genomic potential for N<sub>2</sub>-fixation. Further, we investigate the distribution of these active diazotrophs in surveys of microbial diversity conducted on BSCs over a range of spatial scales and soil types (Garcia-Pichel *et al.*, 2013; Steven *et al.*, 2013).

## Materials and methods

### *BSC sampling and incubation conditions*

BSC samples were taken from the Green Butte site near Moab, Utah, USA as previously described (site 'CP3'; latitude N 38°44'55.1", longitude W 109°44'37.1"; Beraldi-Campesi *et al.*, 2009). All samples were from early successional 'light' crusts as described by Johnson *et al.* (2005). Early successional BSC samples (37.5 cm<sup>2</sup>, average mass 35 g) were incubated in sealed chambers under controlled atmosphere and in 16-h light/8-h dark for 4 days. Crusts were sampled and transported while dry and wetted at initiation of the experiment. Water was added to each sample to fully saturate the soil but avoid visible ponding. The samples were then placed in air-tight sealed incubation containers for the rest of the experiment, so that soil and atmosphere remained saturated through the incubation period. The water was amended with calcium bicarbonate to yield a final concentration of 3 mM, so that autotrophy could proceed unimpeded. The control treatment received a headspace of air and the experimental treatment received a headspace containing <sup>15</sup>N<sub>2</sub> (>98% atom <sup>15</sup>N<sub>2</sub>). <sup>15</sup>N<sub>2</sub> (100%) gas was purchased from Sigma-Aldrich (St Louis, MO, USA). We used a composition of 75% <sup>15</sup>N<sub>2</sub> in helium for the initial incubation headspace. Four crust samples were treated and incubated (two control and two experimental). One control/experimental crust pair was collected at day 2 and the other at day 4. Acetylene reduction rates were measured daily. Acetylene reduction rates increased over the course of the experiment (0.8, 4.8, 8.8 and 14.5 μmoles m<sup>-2</sup> h<sup>-1</sup> ethylene for days 1–4, respectively).

### *DNA extraction*

DNA was extracted for DNA-SIP at 2 and 4 days. DNA was extracted from 1 g of BSCs. DNA from each sample was extracted using a MoBio (Carlsbad, CA, USA) UltraClean Mega Soil DNA Isolation Kit (following the manufacturer's protocol, but lysis was done as previously described (Strauss *et al.*, 2011)), and then gel purified to select high molecular weight DNA (>4 kb) using a 1% low melt agarose gel and β-agarase I for digestion (according to the manufacturer's protocol, New England Biolabs, Ipswich, MA, USA, M0392S). Extracts were quantified using PicoGreen nucleic acid quantification dyes (Molecular Probes, Eugene, OR, USA).

### Formation of CsCl equilibrium density gradients

CsCl gradient fractionation was used to separate the DNA into 36 gradient fractions on the basis of buoyant density. CsCl density gradients were formed in 4.7 ml polyallomer centrifuge tubes filled with gradient buffer (15 mM Tris-HCl, pH 8; 15 mM EDTA; 15 mM KCl), which contained  $1.725 \text{ g ml}^{-1}$  CsCl. CsCl density was checked with a digital refractometer as described below. A total of 2.5–5.0  $\mu\text{g}$  of DNA was added to each tube, and the tubes were mixed prior to centrifugation. Centrifugation was performed in a TLA-110 fixed angle rotor (Beckman Coulter, Indianapolis, IN, USA) at 20 °C for 67 h at 55 000 r.p.m. (Buckley *et al.*, 2007). Centrifuged gradients were fractionated from bottom to top in 36 equal fractions of 100  $\mu\text{l}$ , using a syringe pump as described previously (Buckley *et al.*, 2007). The density of each fraction was determined using an AR200 refractometer (Reichert, Depew, NY, USA), modified to accommodate 5  $\mu\text{l}$  samples as described previously (Buckley *et al.*, 2007). DNA in each fraction was desalted on a filter plate (PALL, Port Washington, NY, USA, AcroPrep Advance 96 Filter Plate, Product Number 8035), using four washes with 300  $\mu\text{l}$  TE per fraction. After each wash, the filter plate was centrifuged at 500  $g$  for 10 min, with a final spin of 20 min. Purified DNA from each fraction was resuspended in 50  $\mu\text{l}$  of TE buffer.

### PCR, library normalization and DNA sequencing

To characterize the distribution of small subunit (SSU) rRNA genes across density gradients, SSU rRNA gene amplicons were generated from 20 gradient fractions per gradient for both unlabeled controls and  $^{15}\text{N}_2$  labeled samples. The 20 fractions analyzed are those expected to contain DNA (both labeled and unlabeled) having buoyant density in the range of  $1.66\text{--}1.77 \text{ g ml}^{-1}$ . Barcoded PCR of bacterial and archaeal SSU rRNA genes was carried out using primer set 515F/806R (Walters *et al.*, 2011) (primers purchased from Integrated DNA Technologies, Coralville, IA, USA). The primer 806R contained an 8-bp barcode sequence, a 'TC' linker and a Roche 454B sequencing adapter, while the primer 515F contained the Roche 454A sequencing adapter. Each 25  $\mu\text{l}$  reaction contained  $1 \times$  PCR Gold Buffer (Roche, Pleasanton, CA, USA), 2.5 mM  $\text{MgCl}_2$ , 200  $\mu\text{M}$  of each of the four dNTPs (Promega, Madison, WI, USA),  $0.5 \text{ mg ml}^{-1}$  bovine serum albumin (New England Biolabs), 0.3  $\mu\text{M}$  of each primers, 1.25 U of Amplitaq Gold (Roche), and 8  $\mu\text{l}$  of template. Each sample was amplified in triplicate. Thermal cycling occurred with an initial denaturation step of 5 min at 95 °C, followed by 40 cycles of amplification (20 s at 95 °C, 20 s at 53 °C, 30 s at 72 °C), and a final extension step of 5 min at 72 °C. Triplicate amplicons were pooled and purified using Agencourt AMPure PCR purification beads (Beckman Coulter), following the manufacturer's protocol. Once purified, amplicons were quantified using PicoGreen nucleic acid

quantification dyes (Molecular Probes) and pooled together in equimolar amounts. Samples were sent to the Environmental Genomics Core Facility at the University of South Carolina (now Selah Genomics) where they were run on a Roche FLX 454 pyrosequencing machine (FLX-Titanium platform, Roche).

### Data analysis

**Sequence quality control.** Sequences were initially screened by maximum expected errors at a specific read length threshold (Edgar, 2013), and this has been shown to be as effective as denoising with respect to removing pyrosequencing errors. Specifically, reads were first truncated to 230 nucleotides (nt) (all reads shorter than 230 nt were discarded) and any read that exceeded a maximum expected error threshold of 1.0 was removed. After truncation and maximum expected error trimming, 91% of original reads remained. Forward primer and barcode were then removed from the high-quality, truncated reads. Remaining reads were taxonomically annotated using the 'UClust' taxonomic annotation framework in the QIIME software package (Caporaso *et al.*, 2010; Edgar, 2010) with cluster seeds from Silva SSU rRNA database (Pruesse *et al.*, 2007) 97% sequence identity operational taxonomic units (OTUs) as reference (release SSU Ref 111). Reads annotated as 'Chloroplast', 'Eukaryota', 'Archaea', 'Unassigned' or 'mitochondria' were removed from the data set. Finally, reads were aligned to the Silva reference alignment provided by the Mothur software package (Schloss *et al.*, 2009) using the Mothur NAST aligner (DeSantis *et al.*, 2006). All reads that did not align to the expected amplicon region of the SSU rRNA gene were discarded. Quality control parameters removed 34 716 of the 258 763 raw reads. Raw sequences have been uploaded to MG-RAST (MG-RAST ID 4603397.3).

**Sequence clustering.** Sequences were distributed into OTUs using the UPARSE methodology (Edgar, 2013). Specifically, OTU centroids (that is, seeds) were identified using USEARCH on non-redundant reads sorted by count. The sequence identity threshold for establishing a new OTU centroid was 97%. After initial OTU centroid selection, select SSU rRNA gene sequences from Yeager *et al.* (2007) were added to the centroid collection. Specifically, Colorado Plateau or Moab, Utah sequences from Yeager *et al.* (2007) sequences were added that included the SSU rRNA gene sequences for *Calothrix* MCC-3 A (accession DQ531700.1), *Nostoc commune* MCT-1 (accession DQ531903), *Nostoc commune* MFG-1 (accession DQ531699.1), *Scytonema hyalinum* DC-A (accession DQ531701.1), *Scytonema hyalinum* FGP-7 A (accession DQ531697.1) and *Spirirestis rafaensis* LQ-10 (accession DQ531696.1). Original



centroid sequences matching Yeager *et al.* (2007) sequences were subsequently removed from the centroid collection. With USEARCH/UPARSE, potential chimeras are identified during OTU centroid selection and are not allowed to become cluster centroids effectively removing chimeras from the read pool. All quality controlled reads were then mapped to cluster centroids at an identity threshold of 97% again using USEARCH. A total of 95.6% of quality controlled reads could be mapped to centroids. Unmapped reads do not count toward sample counts and were removed from downstream analyses. The USEARCH software version for cluster generation was 7.0.1090. The sequences from Garcia-Pichel *et al.* (2013) and Steven *et al.* (2013) were quality screened by alignment coordinates (described above) and included as input to USEARCH for OTU centroid selection and subsequent mapping to OTU centroids.

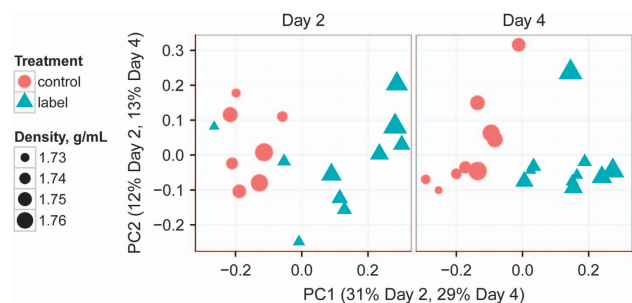
**Phylogenetic analysis.** Alignment of SSU rRNA genes was done with SSU-Align, which is based on Infernal (Nawrocki and Eddy, 2013; Nawrocki *et al.*, 2009). Columns in the alignment that were not included in the SSU-Align covariance models or were aligned with poor confidence (<95% of characters in a position had posterior probability alignment scores of at least 95%) were masked for phylogenetic reconstruction. Additionally, the alignment was trimmed to coordinates such that all sequences in the alignment began and ended at the same positions. FastTree (Price *et al.*, 2010) was used to build the tree.

**Identifying OTUs that incorporated  $^{15}\text{N}$  into their DNA.** DNA-SIP is a culture-independent approach toward defining identity-function connections in microbial communities (Radajewski and Murrell, 2001; Neufeld *et al.*, 2007; Buckley, 2011). Microbes are identified on the basis of isotope assimilation into DNA. As the buoyant density of a macromolecule is dependent on many factors in addition to stable isotope incorporation (for example, G+C-content in nucleic acids; Youngblut and Buckley, 2014), labeled nucleic acids from one microbial population may have the same buoyant density as unlabeled nucleic acids from another. Therefore, it is imperative to compare results of isotopic labeling to results obtained with unlabeled controls where everything mimics the experimental conditions except that unlabeled substrates are used. By contrasting heavy gradient fractions from isotopically labeled samples relative to corresponding fractions from controls, the identities of microbes with labeled nucleic acids can be determined.

We used an RNA-Seq differential expression statistical framework (Love *et al.*, 2014) to find OTUs enriched in heavy fractions of labeled gradients relative to corresponding density fractions in control gradients (for review of RNA-Seq differential expression statistics applied to microbiome OTU count

data, see McMurdie and Holmes (2014)). We use the term ‘differential abundance’ (coined by McMurdie and Holmes (2014)) to denote OTUs that have different proportion means across sample classes (in this case the only sample class is labeled:control). CsCl gradient fractions were categorized as ‘heavy’ or ‘light’. The heavy category denotes fractions with density values  $>1.725\text{ g ml}^{-1}$ . As we are only interested in enriched OTUs (labeled versus control), we used a one-sided Wald-test to test the statistical significance of regression coefficients (the null hypothesis is that the labeled:control fold enrichment for an OTU is less than a selected threshold). We independently filtered out sparse OTUs prior to *P*-value correction for multiple comparisons. The sparsity threshold was set to the value that maximized the number of *P*-values under a false discovery rate threshold. The specific sparsity threshold was 0.3 meaning that an OTU not found in at least 30% of heavy fractions (control and labeled gradients) in a given day were removed as statistically uninformative. *P*-values were corrected with the Benjamini-Hochberg method (Benjamini and Hochberg, 1995) and a false discovery rate of 0.10 was applied (this rate is the typical false discovery rate threshold adopted during RNASeq analysis). We selected a log<sub>2</sub> fold change null threshold of 0.25 (or a labeled:control fold enrichment of 1.19). DESeq2 was used to calculate the moderated log<sub>2</sub> fold change of labeled:control proportion means and corresponding s.es. for the Wald-test (above). Fold change moderation allows for reliable ranking such that high variance and likely statistically insignificant fold changes are appropriately shrunk and subsequently ranked lower than they would be as unmoderated values. Those OTUs that exhibit a statistically significant increase in proportion in heavy fractions from  $^{15}\text{N}_2$ -labeled samples relative to corresponding controls have increased significantly in buoyant density in response to  $^{15}\text{N}_2$  treatment; a response that is expected for  $\text{N}_2$ -fixing organisms.

We also assessed the consistency of enrichment between samples by including the interaction of day and label:control in a DESeq2 generalized linear model. The interpretation of the interaction coefficient is the change in OTU enrichment per unit time. *P*-values for the interaction coefficient were adjusted for all OTUs that passed the sparsity threshold in the label versus control comparison (above) and we used the default null model such that the coefficient equaled zero. Additionally, we assessed fold change between labeled and control gradient heavy fractions after pooling day-2 and day-4 data when treating the different time points as replicates. The same null model as the label versus control comparison (above) was used in this replicate analysis (log<sub>2</sub> fold change in abundance between label and control is  $\leq 0.25$ ). We included all OTUs that passed sparsity-based independent filtering at either day (above) for *P*-value adjustment in the replicate analysis.



**Figure 1** Ordination of heavy gradient fractions by Bray–Curtis distances on the basis of OTU content. Each point represents a gradient fraction OTU profile. Points closer together have more similar OTU content than those further apart.

**Community and sequence analysis.** BLAST searches were done with the ‘blastn’ program from BLAST+ toolkit (Camacho *et al.*, 2009) version 2.2.29+. Default parameters were always employed and the BioPython (Cock *et al.*, 2009) BLAST+ wrapper was used to invoke the blastn program. Pandas (McKinney, 2012) and dplyr (Wickham and Francois, 2014) were used to parse and manipulate BLAST output tables.

Principal coordinate ordinations depict the relationship between samples at each time point (days 2 and 4). Bray–Curtis distances were used as the sample distance metric for ordination. The Phyloseq (McMurdie and Holmes, 2014) wrapper for Vegan (Oksanen *et al.*, 2013) (both R packages) was used to compute sample values along principal coordinate axes. GGplot2 (Wickham, 2009) was used to display sample points along the first and second principal axes. Adonis tests (Anderson, 2001) were done with default number of permutations (1000).

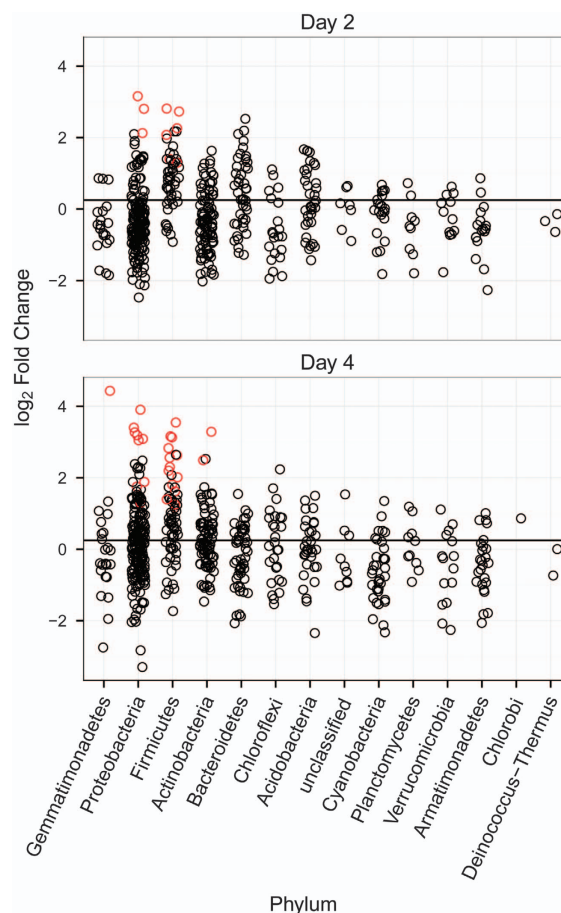
Rarefaction curves were created using bioinformatics modules in the PyCogent Python package (Knight *et al.*, 2007). Parametric richness estimates were made with CatchAll using only the best model for total OTU estimates (Bunge, 2010).

All code to take raw sequencing data through the presented figures (including download and processing of literature environmental data sets) can be found at: [https://github.com/chuckpr/NSIP\\_data\\_analysis](https://github.com/chuckpr/NSIP_data_analysis)

## Results

### DNA buoyant density changes in response to $^{15}\text{N}_2$

BSCs were wetted and incubated for 4 days in transparent chambers with headspace containing  $\text{N}_2$  either from air or from 100% atom-enriched  $^{15}\text{N}_2$ . The chambers were illuminated with 16 h on/8 h off cycles at an intensity of  $200 \mu\text{mol photons m}^{-2} \text{s}^{-1}$ , which is the equivalent of an overcast/rainy day.  $\text{N}_2$ -fixation as measured by acetylene reduction increased from  $4.8 \mu\text{moles m}^{-2} \text{day}^{-1}$  on day 2 to  $14.5 \mu\text{moles m}^{-2} \text{day}^{-1}$  on day 4. Amplicon sequences from  $^{15}\text{N}_2$ -labeled samples and their corresponding unlabeled controls diverged specifically in heavy

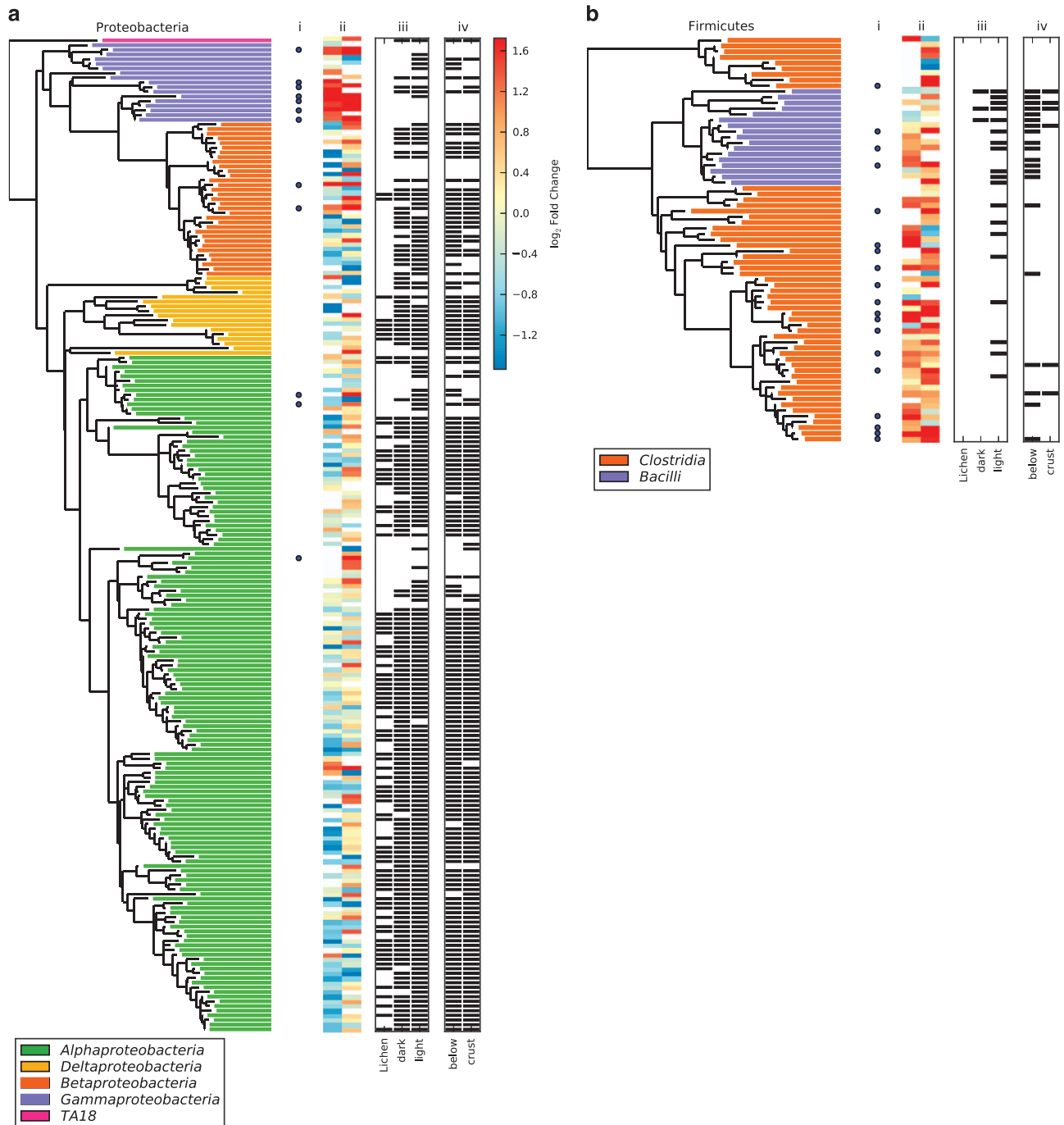


**Figure 2** Moderated  $\log_2$  fold change of OTUs proportions for labeled versus control gradients (heavy fractions only, densities  $> 1.725 \text{ g mL}^{-1}$ ). All OTUs passing the sparsity threshold (see Materials and methods) at a specific incubation day are shown. Red color denotes a proportion fold change that has a corresponding adjusted  $P$ -value below a false discovery rate of 10% (ratio is significantly  $> 0.25$ , black line).

gradient fractions (Figure 1 and Supplementary Figure S1) as assessed by Bray–Curtis dissimilarity (Bray and Curtis, 1957), and this result was significant (Adonis test (Anderson, 2001);  $P$ -value: 0.001,  $r^2$ : 0.18).

### OTUs responsive to $^{15}\text{N}_2$ are primarily Proteobacteria and Clostridiaceae

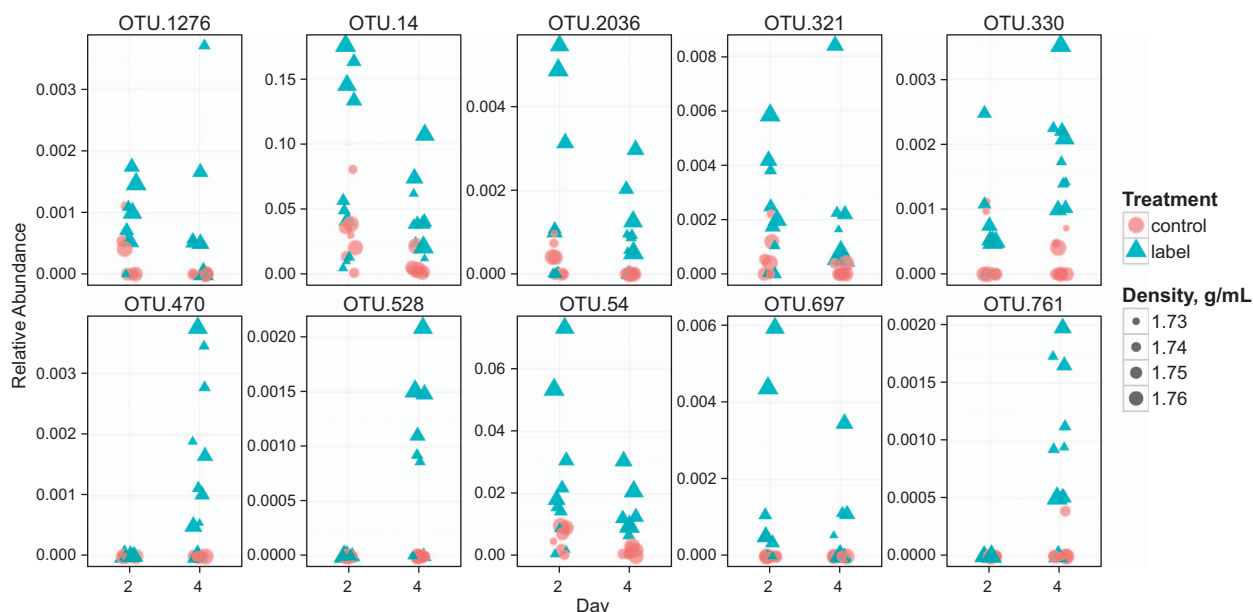
OTUs that incorporated  $^{15}\text{N}$  into their DNA were detected by a differential change in their abundance within heavy gradient fractions of  $^{15}\text{N}_2$ -labeled samples relative to corresponding controls. A total of 2127 and 2160 OTUs were detected in days 2 and 4, respectively, and these OTUs were interrogated for evidence of  $^{15}\text{N}_2$ -labeling. Of these OTUs, only 499 and 563, respectively, passed a sparsity threshold applied to filter out OTUs with insufficient data for statistical analysis (see Love *et al.*, 2014 for discussion of independent filtering). Of OTUs passing the sparsity criterion, 34 were enriched significantly in heavy fractions relative to control and



**Figure 3** Phylogenetic trees of OTUs passing sparsity threshold for *Proteobacteria* (a) and *Firmicutes* (b).  $^{15}\text{N}$ -responders are identified by dots present in column i.  $\log_2$  of OTU proportion fold change (labeled: control samples) for each OTU are presented as a heatmap in column ii, with results from days 2 and 4 on the left and right sides of the column, respectively. High fold change values indicate  $^{15}\text{N}$  incorporation. Presence/absence of OTUs (black indicates presence) in lichen, light or dark environmental samples (Garcia-Pichel *et al.*, 2013) is shown in column iii. Presence/absence of OTUs (black indicates presence) in crust and below crust samples (Steven *et al.*, 2013) is shown in column iv.

this result is specifically expected for OTUs that have  $^{15}\text{N}$ -labeled DNA (that is,  $^{15}\text{N}_2$  'responders'). Of these, 19 are annotated as *Firmicutes*, 12 as *Proteobacteria*, 2 as *Actinobacteria* and 1 as *Gemmatimonadetes* (Figures 2 and 3). If the responder OTUs are ranked by descending enrichment in heavy gradient fractions versus control, 8 of the top

10 responders (that is, those most enriched in the heavy fractions of labeled gradients) are either *Firmicutes* (3 OTUs) or *Proteobacteria* (5 OTUs) (Figure 4). Centroids (seed sequences) for strongly responding *Proteobacteria* OTUs all share high SSU rRNA gene sequence identity (>98.48%, Supplementary Table S1) with isolates from genera



**Figure 4** Relative abundance values in heavy fractions (density  $\geq 1.725 \text{ g ml}^{-1}$ ) for the top 10  $^{15}\text{N}$  ‘responders’ (putative diazotrophs, see results for selection criteria of top 10) at each incubation day. Each point is a relative abundance value for the indicated OTU in a CsCl gradient fraction SSU rRNA gene collection. See Supplementary Table S1 for BLAST results against the LTP database (release 115). Point area is proportional to CsCl gradient fraction density, and color signifies control (red) or labeled (blue) treatment.

known to possess diazotrophs, including *Pseudomonas*, *Klebsiella*, *Shigella* and *Ideonella*. None of the *Firmicutes* OTU centroids in the top 10 responders share  $>97\%$  SSU rRNA gene sequence identity with sequences in the Living Tree Project (LTP) database of 16S rRNA gene sequences from type strains (release 115; see Supplementary Table S1). OTUs that passed the sparsity threshold but were not classified as  $^{15}\text{N}$ -responsive were subsequently tested with the null hypothesis that the OTU fold enrichment in labeled gradient heavy fractions versus control was above the selected threshold. Rejecting the second null indicates that an OTU did not incorporate  $^{15}\text{N}$  into biomass. There were 86 and 89 ‘non-responders’ at days 2 and 4, respectively. The  $^{15}\text{N}$  labeling of OTUs that did not pass sparsity or could not be classified as either a responder or non-responder cannot be determined conclusively.

Although we did not take replicate samples within a time point, we can assess the consistency of each OTUs response across the two time points. OTU fold enrichment at days 2 and 4 was consistent (Supplementary Figure S2). There was a significant correlation between OTU fold enrichment at days 2 and 4 ( $P=4.35e^{-8}$ ). When the enrichment at day 2 is compared with day 4 via an interaction term (day  $\times$  label/control, see Materials and methods), we find that only two OTUs have significantly different enrichment between the different times (‘OTU.227’ and ‘OTU.4037’, Supplementary Table S1). In addition, when day-2 and day-4 samples are treated as replicates (see Materials and methods) only five of the OTUs we identified as responders are not significantly enriched in labeled gradient heavy fractions versus control (‘OTU.140’, ‘OTU.4037’,

‘OTU.227’, ‘OTU.137’ and ‘OTU.263’, Supplementary Table S1). The labeling of these five OTUs should be interpreted with caution. In contrast, all other responders (Supplementary Table S1) showed consistent enrichment across time points based on the above analyses. Furthermore, confidence in detecting enrichment (as expressed by  $P$ -values between days 2 and 4) appears to be correlated with consistency in response across both days (Supplementary Figure S2).

*$^{15}\text{N}$ -responsive OTUs are found in low abundance in available environmental BSC SSU rRNA gene surveys*  
 In total, 13 of the 34  $^{15}\text{N}$ -responsive OTUs have been observed previously in SSU rRNA gene surveys of BSC communities (Figure 3 and Supplementary Figure S3). Eleven of the 19  $^{15}\text{N}$ -responsive *Firmicutes* OTUs are members of the *Clostridiaceae*. Three  $^{15}\text{N}$ -responsive *Clostridiaceae* have been observed in previous BSC SSU rRNA gene surveys. Two  $^{15}\text{N}$ -responsive *Clostridiaceae* were found in early successional crusts (Garcia-Pichel *et al.*, 2013), and one  $^{15}\text{N}$ -responsive *Clostridiaceae* OTU was found among the ‘below crust’ BSC SSU rRNA gene sequences described by Steven *et al.* (2013) (Figure 3). Five  $^{15}\text{N}$ -responsive proteobacterial OTUs (Supplementary Table S1) were detected previously in BSC samples (Garcia-Pichel *et al.*, 2013; Steven *et al.*, 2013). The  $^{15}\text{N}$ -responsive *Gemmatimonadetes* OTU was observed in four samples collected by Steven *et al.* (2013) and one  $^{15}\text{N}$ -responsive *Actinobacteria* OTU was found in three samples collected by Steven *et al.* (2013). *Gemmatimonadetes* and *Actinobacteria*  $^{15}\text{N}$ -responsive OTUs were not observed in samples collected by Garcia-Pichel *et al.* (2013).



### Comparison of SSU rRNA gene sequences from different BSC samples

We compared the SSU rRNA gene sequences determined in this DNA-SIP experiment with two previous surveys of SSU rRNA gene amplicons from BSC communities (Garcia-Pichel *et al.*, 2013; Steven *et al.*, 2013). There were 3079 OTUs (209 354 total sequences after quality control) in the DNA-SIP data, 3203 OTUs (129 033 total sequences after quality control) in the study by Garcia-Pichel *et al.* (2013) and 2481 OTUs (129 358 total sequences after quality control) in the study by Steven *et al.* (2013) with a total of 4340 OTUs across all three data sets. Of the total 4340 OTU centroids established for this study, 445 have matches in the LTP (a collection of SSU rRNA gene sequences for all sequenced-type strains; Yarza *et al.*, 2008) at or above a threshold of 97% sequence identity (LTP version 115). That is, 445 of the 4340 OTUs are closely related to known isolates. The DNA-SIP data shares 56% OTUs with the data by Steven *et al.* (2013) and 46% of OTUs with the data by Garcia-Pichel *et al.* (2013), while these latter two studies share 46% of their OTUs. This result suggests that low-frequency OTUs likely remain undersampled in all data sets.

Sequencing of DNA subjected to CsCl fractionation is expected to sample a different subset of diversity than that sampled by sequencing of unfractionated bulk DNA. For example, SIP enhances detection of OTUs that incorporate  $^{15}\text{N}$  into their DNA, and these OTUs will be overrepresented in the overall DNA-SIP sequence pool relative to their relative abundance in unfractionated bulk community samples. In addition, the DNA-SIP sequencing effort was directed at a relatively small number of light crust samples ( $n=4$ ), while previous sequencing efforts (Garcia-Pichel *et al.*, 2013; Steven *et al.*, 2013) were spread across hundreds of samples from both light and dark crusts. Hence, it is likely that the current study will be more likely to detect rare OTUs present in early successional light crust communities, particularly those that incorporate  $^{15}\text{N}$  into DNA. In all three BSC studies, most sequences were annotated as either cyanobacteria or *Proteobacteria*, though only in the DNA-SIP data did the sequences of *Proteobacteria* outnumber those of cyanobacteria. *Proteobacteria* represented 29.8% of sequence annotations in DNA-SIP data as opposed to 17.8% and 19.2% for the data by Garcia-Pichel *et al.* (2013) and Steven *et al.* (2013), respectively. In addition, sequences annotated as *Firmicutes* were more abundant in the DNA-SIP data (19%) than in the data from Steven *et al.* (2013) and Garcia-Pichel *et al.* (2013) (0.21% and 0.23%, respectively; Supplementary Figure S4). Finally, as predetermined by sampling design, sequences annotated to 'Subsection IV' of cyanobacteria, which encompasses the heterocystous cyanobacteria in the Silva taxonomic nomenclature (Pruesse *et al.*, 2007), comprised only 0.29% of cyanobacteria sequences

in the DNA-SIP data while representing 15% and 23% of cyanobacteria sequences from the data by Steven *et al.* (2013) and Garcia-Pichel *et al.* (2013), respectively.

## Discussion

BSC N-fixation has long been attributed to heterocystous cyanobacteria and the preponderance of cyanobacterial *nifH* genes observed in molecular surveys of BSCs have generally supported this hypothesis (Yeager *et al.*, 2007, 2004, 2012). However, in this study  $^{15}\text{N}_2$ -DNA-SIP reveals that non-cyanobacterial microorganisms fix  $\text{N}_2$  in early successional BSC samples. *Proteobacteria* and *Clostridiaceae* were most abundant among  $^{15}\text{N}_2$ -responsive OTUs as revealed by a robust statistical framework for quantifying and evaluating differential OTU abundance in microbiome studies (Love *et al.*, 2014; McMurdie and Holmes, 2014). Many of these OTUs (about 40%) have been observed previously in BSC communities. Rarefaction curves of data from Steven *et al.* (2013) and Garcia-Pichel *et al.* (2013) are still sharply increasing especially for sub-crust samples (Supplementary Figure S5), suggesting that the communities remain undersampled. Parametric richness estimates of BSC diversity indicate that the sequencing efforts by Steven *et al.* (2013) and Garcia-Pichel *et al.* (2013) recovered on average 40.5% (s.d. 9.99%) and 45.5% (s.d. 11.6%) of predicted SSU rRNA gene OTUs from crust samples (inset, Supplementary Figure S5), respectively. Therefore, it would have been surprising if all of the  $^{15}\text{N}$ -responsive OTUs had been observed in prior environmental surveys of BSCs. Nitrogenase *nifH* gene sequences related to both *Proteobacteria* and *Clostridiaceae* have been previously observed in BSC samples, though typically at relative abundance that is much lower than *nifH* gene sequences from heterocystous cyanobacteria.

We propose three mechanisms that could bias *nifH* clone libraries against heterotrophic diazotrophs. First, extreme polyploidy in cyanobacteria (up to  $58\times$  ploidy in stationary phase, Griese *et al.*, 2011) can be expected to inflate the representation of cyanobacteria *nifH* gene sequences in community DNA relative to the frequency of  $^{15}\text{N}_2$ -fixing heterocysts. Although cyanobacteria often have relatively large cells, ploidy per cell is probably greater than ploidy per unit volume. Second, heterocysts make up a small fraction of total cells along a trichome, though all cells in the trichome possess the *nifH* gene. As a result of polyploidy and heterocyst frequency in a cyanobacterial filament, the ratio of cyanobacterial *nifH* gene copies to heterotrophic *nifH* gene copies may be inflated as much as  $10^3$  times relative to the corresponding ratio of  $^{15}\text{N}_2$ -fixing cells (that is, the ratio of heterocyst number to the cell number of heterotrophic diazotrophs). Third, *nifH* PCR primers, which are highly degenerate,



could be biased against heterotrophic diazotrophs. For example, the *nifH* PCR primers used in the second round of a widely used nested PCR protocol (Yeager *et al.*, 2007, 2004, 2012) have fairly low coverage for *Proteobacteria* and *Clostridiales* (Gaby and Buckley, 2012). Primer 'nifH11' is biased against 'Cluster III' *nifH* gene sequences, which includes those of the *Clostridiales* (50% *in silico* coverage of reference *nifH* sequences). In addition, primer 'nifH22' has low coverage of reference sequences from *Proteobacteria*, cyanobacteria and 'Cluster III' *nifH* gene sequences (16, 23 and 21% *in silico* coverage, respectively; Gaby and Buckley, 2012). Hence, it is reasonable to assume that heterotrophic diazotrophs may have been underestimated in previous analyses of early successional BSC communities. Our DNA-SIP results, which do not require PCR of functional genes, suggest that BSC N-fixation in early successional BSCs may include a large non-cyanobacterial component. This is consistent with small-scale, spatially resolved functional measurements of N-fixation in BSCs (Johnson *et al.*, 2005) that show a subsurface maximum that does not coincide spatially with maxima in chlorophyll *a* (a proxy for phototrophic biomass) in early successional crusts and a surface maximum of N<sub>2</sub>-fixation in mature crust that coincides with the maximum in chlorophyll *a*.

We did not observe incorporation of <sup>15</sup>N<sub>2</sub> into the DNA of heterocystous cyanobacteria in the early successional BSC samples used in this study. It is possible that <sup>15</sup>N<sub>2</sub>-fixation by heterocystous cyanobacteria could go undetected in DNA-SIP. One possible explanation for this result is that the early successional BSC samples used in this study possessed too few heterocystous cyanobacteria to statistically evaluate their <sup>15</sup>N-incorporation. Indeed, heterocystous cyanobacteria represented only 0.29% of sequences from the DNA-SIP data (see Results) as opposed to 15% and 23% of total sequences in the data by Steven *et al.* (2013) and Garcia-Pichel *et al.* (2013), respectively. OTUs that correspond to heterocystous cyanobacteria (as defined by Yeager *et al.*, 2007) all fall below the sparsity threshold used in our analysis (see Materials and methods). Given the sparsity of heterocystous cyanobacteria sequences in the light crust DNA-SIP data, it is not possible to conclusively determine whether heterocystous cyanobacteria incorporated <sup>15</sup>N during the incubation. Our results show that heterotrophic diazotrophs can contribute to <sup>15</sup>N<sub>2</sub>-fixation in early successional BSCs but they do not exclude the potential for fixation by heterocystous cyanobacteria. Indeed, heterocystous cyanobacteria if present, active and limited for nitrogen would be expected to form heterocysts and fix <sup>15</sup>N<sub>2</sub>. It is likely that scarcity limits their contribution to <sup>15</sup>N<sub>2</sub>-fixation in early successional crusts. Heterocystous cyanobacteria form sessile colonies and they require stabilization of the crust environment before they can successfully colonize soil; and this

stabilization is performed by other pioneering members of the crust community (Castenholz and Garcia-Pichel, 2002). <sup>15</sup>N<sub>2</sub>-DNA-SIP would also fail to identify <sup>15</sup>N<sub>2</sub>-fixing bacteria if <sup>15</sup>N<sub>2</sub>-fixation were uncoupled from DNA replication over the time frame of the experiment (that is, 4 days), that is <sup>15</sup>N<sub>2</sub>-DNA-SIP will not detect bacteria that fix <sup>15</sup>N<sub>2</sub> but do not incorporate the <sup>15</sup>N-label into DNA. Therefore, the contribution of heterocystous cyanobacteria (or any other microbe) to <sup>15</sup>N<sub>2</sub> would be underestimated if their cell division is uncoupled from <sup>15</sup>N<sub>2</sub>-fixation at time frames of up to 4 days. We should also note that <sup>15</sup>N can be incorporated into biomass from trophic interactions, although in this case the <sup>15</sup>N labeling would likely be weaker than that for a N<sub>2</sub>-fixer as a result of label dilution.

The OTUs with significant evidence of <sup>15</sup>N-incorporation during the incubation were predominantly *Proteobacteria* and *Firmicutes*. The *Proteobacteria* OTUs with the strongest signal of <sup>15</sup>N-incorporation all shared high sequence identity (≥98.48%) with SSU rRNA gene sequences from genera known to contain diazotrophs (Supplementary Table S1). In contrast the *Firmicutes* that displayed signal for <sup>15</sup>N-incorporation (predominantly *Clostridiaceae*) were not closely related to any known cultivars (Supplementary Table S1). Hence, we have little knowledge of the ecology of these organisms. Assessing the physiological characteristics of these diazotrophic *Clostridiaceae* may be useful for predicting how environmental change will affect the development and stability of BSC. Prior intense cultivation efforts from these crusts in separate studies did not yield any members of the *Clostridiaceae* (Gundlapally and Garcia-Pichel, 2006). Although under sampled in environmental data sets, <sup>15</sup>N-responsive OTUs were indeed more abundant in sub-crust or early successional BSC samples relative to crust surface or mature crust samples (Figure 3 and Supplementary Figure S3). Although members of *Clostridiaceae* have been found in low abundance in molecular surveys of BSC, most surveys are carried out on desiccated crust samples, where thick-walled spores would predominate relative to vegetative cells, thus increasing the likelihood for their under-representation in DNA surveys. It should also be noted that crusts were incubated in an atmosphere of He and N<sub>2</sub> rather than O<sub>2</sub> and N<sub>2</sub>. Although cyanobacteria in the presence of light rapidly produce oxygen super saturation in BSCs relative to air (Garcia-Pichel and Belnap, 1996), and whereas heterotrophic N<sub>2</sub>-fixation by many microorganisms is inhibited in the presence of atmospheric levels of O<sub>2</sub>, it remains possible that the conditions present in microcosm are not representative of field conditions and may have favored N<sub>2</sub>-fixation by crust organisms that are less active *in situ*. Further experiments will need to be performed to verify that these heterotrophic diazotrophs are contributing to the N-budgets of early successional crusts in the field.

Our results generate more refined hypotheses pertaining to the contribution of diazotrophs during the development of BSC communities. Specifically,  $^{15}\text{N}_2$ -fixation in BSCs may not be tied solely to the climax of heterocystous cyanobacteria in mature crusts. Rather,  $^{15}\text{N}_2$ -fixation may occur throughout crust development with the transition between early successional and mature crusts marked by a transition between heterotrophic and phototrophic  $^{15}\text{N}_2$ -fixation in the crust community. Therefore, sub-biocrust soil may contribute significantly to the arid ecosystem N-budget and may be of considerable importance in the early phases of BSC establishment. We propose that interactions between fast-growing heterotrophic diazotrophs such as members of the *Clostridiaceae* and filamentous (non-heterocystous) cyanobacteria are important in the early establishment of BSC communities. During progressive desiccation, cyanobacteria, such as *M. vaginatus*, accumulate compatible solutes, such as trehalose and sucrose (Rajeev et al., 2013). Upon wetting, microorganisms rapidly excrete compatible solutes to prevent cell lysis due to osmotic shock (Poolman and Glaasker, 1998). Among them are dihexoses (such as sucrose and trehalose), which are observed in natural crusts upon wetting and then are rapidly depleted in the soil solution (Northen, 2014). Many *Clostridiaceae* have a saccharolytic metabolism with the potential for rapid growth rates on substrates such as trehalose and/or sucrose (Wiegel et al., 2006). Wetting of crust may allow for rapid germination and growth of these organisms as the time required for germination of clostridial spores can be <30 min (Stringer et al., 2005). Indeed, intense blooms of clostridia have been detected in crusts within tens of hours of wetting (Karaoz et al., 2014).  $\text{N}_2$ -fixing clostridia are common in soils (Wiegel et al., 2006) and it is notable that *Clostridium pasteurianum*, isolated from soil, was the first  $\text{N}_2$ -fixing bacterium ever described (Winogradsky, 1895). *C. pasteurianum*, though an anaerobe, grows readily in the presence of oxygen when co-cultured with aerobic organisms that reduce oxygen tension (Chester, 1903). We propose that, during a typical precipitation event, water saturation and heterotrophic activity rapidly render the interior of the crusts anoxic (Garcia-Pichel and Belnap, 1996), presenting optimal conditions for growth of anaerobic, dihexose-fermenting,  $\text{N}_2$ -fixing clostridia. Clostridial organic nitrogen would then become available to other members of the community, including the primary producers, when carbon limitation induces sporulation and mother cell lysis. Mother cell lysis, the last step in sporulation, releases rich sources of P and N into the environment in the form of nucleotides and peptides (Hoch et al., 2002).

## Conclusion

The abundance of  $^{15}\text{N}$ -responsive OTUs from *Clostridiaceae* and *Proteobacteria* found in this

study, the *nifH* gene sequences of *Clostridiaceae* and *Proteobacteria* observed previously in BSCs (Steppe et al., 1996) and the evidence for subsurface  $\text{N}_2$ -fixation in early successional BSCs (Johnson et al., 2005), taken together, suggest that heterotrophic diazotrophs may be important contributors to  $\text{N}_2$ -fixation in the subsurface of early successional BSCs. Heterocystous cyanobacteria are also key contributors to the BSC N-budget, however, and it is clear that heterocystous cyanobacteria increase in abundance with BSC's age (Yeager et al., 2004). It is less clear if the transition to mature crust is marked mainly by a change in the abundance and activity of heterocystous cyanobacteria or rather represents a succession within the diazotroph community from early crusts where  $^{15}\text{N}_2$ -fixation is dominated by *Clostridiaceae* and *Proteobacteria* to mature crusts where it is dominated by heterocystous cyanobacteria. Predicting the ecological response of BSCs to climate change, altered precipitation regimes and physical disturbance requires an understanding of crust establishment, stability and succession. Diazotrophs are critical contributors to all of these phenomena and their activities make critical contributions to the N-budget of arid ecosystems worldwide.

## Conflict of Interest

The authors declare no conflict of interest.

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