

Accepted Manuscript

Comprehensive Study of the Antidiabetic Drug Metformin and its Transformation Product Guanylurea in Greek Wastewaters

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PII: S0043-1354(14)00842-2

DOI: [10.1016/j.watres.2014.12.010](https://doi.org/10.1016/j.watres.2014.12.010)

Reference: WR 11046

To appear in: *Water Research*

Received Date: 3 July 2014

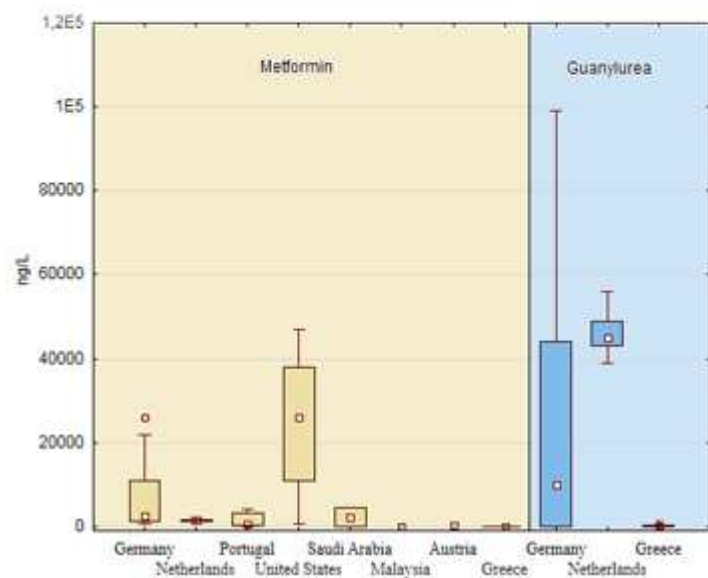
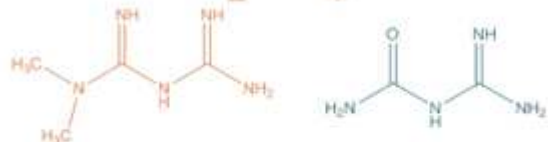
Revised Date: 2 December 2014

Accepted Date: 6 December 2014

Please cite this article as: Kosma, C.I., Lambropoulou, D.A., Albanis, T.A., Comprehensive Study of the Antidiabetic Drug Metformin and its Transformation Product Guanylurea in Greek Wastewaters, *Water Research* (2015), doi: 10.1016/j.watres.2014.12.010.

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EFFLUENTS



1 **Comprehensive Study of the Antidiabetic Drug Metformin**
2 **and its Transformation Product Guanylurea in Greek**
3 **Wastewaters**

4
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1 A B S T R A C T

2 Many pollutants such as pharmaceuticals and their transformation products (TPs) are
3 not efficiently removed from wastewater treatment plants and enter into surface
4 waters. The aim of this study was to investigate the occurrence and behavior of
5 metformin, one of the most prescribed drugs worldwide, and its biological
6 transformation product guanylurea, in eight wastewater treatment plants (WWTPs) of
7 Greece. All WWTPs were equipped with conventional activated sludge treatment and
8 the samples were taken from the influents and the effluents, over the four seasons of
9 one year. The analytical method developed based on SPE followed by LC-UV/Vis-
10 ESI/MS analysis, while positive findings were confirmed also by means of LTQ
11 Orbitrap mass spectrometer. High polarity of both compounds led to the extraction
12 with Oasis HLB and the use of the anionic surfactant SDS. The results showed that
13 metformin dominated in the influents (bqL-1167 ng/L), while guanylurea in the
14 effluents (bqL-627 ng/L) of the wastewater treatment plants, with
15 Metformin/Guanylurea ratio ranging between 0.88 and 81.3 in the influents and
16 between 0.005 and 0.78 in the effluents. Lack of a clear seasonal tendency in the
17 occurrence and removal or formation was observed. Finally, an ecotoxicological risk
18 assessment of metformin in effluent wastewaters took place by calculating the ratio
19 between the environmental concentrations (MEC) and the predicted no effect
20 concentrations (PNEC). Despite the fact that metformin presented low risk in all
21 cases, an environmental concern is suspected for guanylurea since it is continuously
22 released into the aquatic environment.

23

24 *Keywords:* Guanylurea, Metformin, Occurrence, Removals, Risk assessment,
25 Wastewaters

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1 **1. Introduction**

2 In recent years, there have been a number of research articles and reviews dealing
3 with the environmental occurrence, distribution and transport at national, European
4 and worldwide scale of a vast array of pharmaceuticals in aquatic environment. In this
5 light, many high production volume medicines like β -blockers, analgesics, antibiotics,
6 lipid regulators, anti-inflammatories, and X-ray contrasts etc have been increasingly
7 documented in groundwater, surface waters and wastewaters (Al-Qaim et al., 2014;
8 Carmona et al., 2014; Gros et al., 2013; Kosma et al., 2010; Kosma et al., 2014;
9 Padhye et al., 2014; Stamatis et al., 2013; Stamatis and Konstantinou, 2013).
10 However, antidiabetic drugs, which are among the most widely used pharmaceutical
11 compounds, have received less attention. The number of people suffering from
12 diabetes, a very common glucose-metabolic disease, accounts for more than 200
13 million on a worldwide scale. Hence, antidiabetic drugs are widely used for the
14 treatment of this chronic disease and consequently, they are continuously being
15 released into the environment through wastewater discharges from wastewater
16 treatment plants. Interestingly, despite their high prescription rates and consumption
17 volumes, up to date, little work has been conducted on the presence of antidiabetics in
18 waters and wastewaters. Expectantly, the most attention has been given to metformin,
19 which is the first line drug of choice used for the treatment of diabetes mellitus type II
20 and is excreted non metabolized in the urine. In some countries Metformin is in the
21 top twenty list of prescribed, produced and environmentally loaded pharmaceutically
22 active compounds and it is ranked number one in mass loading (1.10×10^6 kg/yr)
23 (Dong et al., 2013). Its consumption increased in Netherland and in Western Europe,
24 with 26 % between 2008 and 2012 and is expected to grow in the near future (ter
25 Laak and Baken, 2014). Thus, not surprisingly, metformin has been detected in

1 surface water, in concentrations up to 29 $\mu\text{g/L}$ as well as in wastewater treatment plant
2 influent and effluent up to 129 and 47 $\mu\text{g/L}$, respectively (Blair et al., 2013a; Blair et
3 al., 2013b; ter Laak and Baken, 2014).

4 Until recently, relatively little work has been conducted on the environmental fate and
5 distribution of metformin. Two recent works (Scheurer et al., 2012; Trautwein and
6 Kümmerer, 2011), suggested that metformin is biologically transformed in activated
7 sludge to the transformation product, known as guanyurea. Other studies
8 demonstrated that because of their incomplete degradation and removal during
9 wastewater treatment both compounds are expected in considerable amounts (up to
10 several tens of mg/L) into effluents (see Fig.1 & Fig.S1 (Supplementary Data)) and
11 consequently into receiving waters. Furthermore, ter Laak and Baken (2014) reported
12 that concentrations of metformin and guanyurea together, account for more than half
13 of the total load of pharmaceuticals in surface waters. Due to the high environmental
14 loading and the limited number of investigations on the environmental fate and
15 occurrence of this group of bioactive compounds, increased knowledge is strongly
16 recommended. Therefore, local research studies will be a key source of data to
17 provide a better understanding of their behavior in wastewaters and water resources.

18 In view of the scarce data on the occurrence of antidiabetics in the aquatic
19 environment, the aim of this work was: (1) to comprehensively investigate the
20 simultaneous occurrence of metformin and its aerobic, bacterial dead-end TP,
21 guanyurea, in eight WWTPs in Greece, by means of LC-UV/Vis-ESI/MS and LC-
22 MS/LTQ Orbitrap systems, during one year monitoring program, (2) to evaluate the
23 removal efficiencies across various types of WWTPs, (3) to provide a risk analysis in
24 order to assess and compare the potential environmental risk of various types of
25 wastewaters (hospital and municipal effluents) towards different aquatic organisms,

1 (algae, daphnids fish) through the calculation of risk quotients (Gros et al., 2010;
2 Kosma et al., 2014; Valcárcel et al., 2011; Zhao et al., 2010).

3 To the present state of knowledge this is the first time that the simultaneous
4 occurrence of these substances, is investigated in Greek aquatic environment and
5 especially in conventional wastewater treatment plants. In addition, only a limited
6 amount of information has been currently produced on the occurrence of guanylurea
7 in WWTPs worldwide (Blair et al., 2013b; Oosterhuis et al., 2013; Scheurer et al.,
8 2009, 2012; Trautwein and Kümmerer, 2011).

9

10 **2. Materials and Methods**

11 ***2.1 Chemicals and reagents***

12 Metformin hydrochloride was purchased from Promochem (Wesel, Germany), while
13 guanylurea was purchased from Sigma-Aldrich (Steinheim, Germany). Methanol and
14 acetone were obtained from Pestiscan (Labscan, Ltd., Dublin, Ireland) and anhydrous
15 sodium sulfate from Merck (Darmstadt, Germany). Acetonitrile (ACN) and water (for
16 chromatographic analysis, LC-MS grade) were received from Fisher Scientific
17 (Leicestershire, UK). Formic acid (purity, 98-100 %), was obtained from Merck
18 KGaA (Darmstadt, Germany) and Sodium Dodecyl Sulfate (SDS), was obtained from
19 Sigma-Aldrich (Steinheim, Germany). Oasis HLB (200 mg, 6 cc) were purchased
20 from Waters Corporation (Milford, MA, U.S.A.). Stock 1000 mg/L solutions of each
21 pharmaceutical were prepared in methanol and stored at -20 °C. A mixture of the
22 compounds was prepared by appropriate dilution of individual stock solutions in
23 methanol-water (50-50 v/v). Physicochemical properties of metformin hydrochloride
24 and guanylurea are listed in Table 1.

25

1 **2.2 Sampling and sample preparation**

2 Influent and effluent samples were collected along 2010-2011. They were obtained
3 from eight WWTPs (7 municipal WWTPs and 1 hospital WWTP), from various cities
4 (Ioannina City, Ioannina Hospital, Arta, Preveza, Agrinio, Grevena, Kozani, Veroia),
5 in N.W. Greece (Epirus, Macedonia, Aitolokarnania). All municipal WWTPs
6 investigated are equipped with primary treatment (grit removal) and conventional
7 activated sludge secondary treatment with denitrification/nitrification, removal of
8 phosphorous and a final disinfection step. The solid retention times (SRTs) and the
9 hydraulic retention times (HRTs) of the WWTPs vary between 1-28 days and 1.5-39
10 hours, respectively. Ioannina City WWTP is the biggest plant, servicing a municipal
11 area with 100,000 inhabitants, and uses sand filters as a polishing step before
12 disinfection. Ioannina City receives also industrial wastewaters as well as the effluent
13 wastewaters from the WWTP of the Hospital which has a capacity of 800 beds. The
14 rest of the plants are smaller and serve populations between 20,000 and 90,000
15 inhabitants. Detailed description of the eight WWTPs can be found elsewhere (Kosma
16 et al., 2014).

17 All samples were taken over a 24-hour period (composite samples). Amber glass
18 bottles, which were prerinsed with deionized water, were used for the collection of the
19 samples and after the sampling the bottles were immediately transport to the
20 laboratory and filtered with 1 μm glass fiber filters GF/B (Whatman, UK). Then the
21 samples were kept in the dark at 4 °C and extracted within 48 h (Kosma et al., 2014).

22 Initially, a previous SPE procedure was applied in order to evaluate the efficiency of
23 this process. Briefly, Oasis HLB cartridges were preconditioned with 5 mL of
24 methanol and 5 mL of HPLC-grade water. Sample aliquots of 400 mL, without pH
25 adjustment, were loaded into the cartridge at a flow rate of 10 mL/min and washed

1 with 5 mL of HPLC-grade water. The cartridges were dried under vacuum for 10 min.
2 Elution step was done with 2×5 mL of methanol at 1 mL/min. Next, the extracts
3 were dried over anhydrous sodium sulfate and under a gentle stream of nitrogen until
4 dryness and finally, refilled with 0.5 mL of methanol:water, 50:50 (v/v) (Kosma et al.,
5 2014).

6 According to the results, very low recoveries were obtained for metformin and
7 guanyurea, respectively. This fact may be attributed to their physicochemical
8 properties (high polarity, low molecular weight, high pKa), which may lead in low
9 recoveries, when applying conventional methods. Metformin recoveries were also
10 found to be very low in previous studies by performing similar preparation
11 methodologies (Al-Odaini et al., 2010; Cahill et al., 2004).

12 So, taking into consideration all the above and in order to achieve better recoveries for
13 these two compounds, aqueous solution of anionic surfactant SDS (Sodium Dodecyl
14 Sulfate) was used. In general, in order to improve the recoveries of polar organic
15 compounds, ion-pair (IP) reagent is used during the solid phase extraction analysis
16 (AbuRuz et al., 2005; Gaurav et al., 2008; Keal and Somogyi, 1986; Martín et al.,
17 2012).

18 For this purpose, Oasis HLB cartridges were pre-conditioned with 5 mL of methanol,
19 5 mL of HPLC-grade water and 5 mL of aqueous 2mM SDS solution. Next, 400 mL
20 of the sample, without pH adjustment, were loaded into the cartridge, at a flow rate of
21 10 mL/min, washed with 5 ml of HPLC-grade water and dried under vacuum for 10
22 min. The analytes were eluted with 2×5 mL of methanol at 1 mL/min. The extracts
23 were dried over anhydrous sodium sulphate and then under a gentle stream of
24 nitrogen until dryness and finally, reconstituted with 0.5 mL of methanol:water, 50:50
25 (v/v) and stored at -20 °C until being analyzed.

1

2 **2.3 Instrumentation**

3 A LC-UV/Vis-ESI/MS system from Shimadzu (Kyoto, Japan), was used for the
4 determination of metformin and guanyurea in wastewaters. A SIL 20A autosampler
5 (injection volume, 20 μ L) and a LC-20AB pump were used, while separation of the
6 compounds was achieved with a C₁₈ (Restek, USA) analytical column (150 \times 4.6 mm,
7 5 μ m). The SPD 20A UV-vis detector was coupled in series with the LC-MS 2010EV
8 mass selective detector, which was equipped with an atmospheric pressure ionization
9 source electrospray (ESI) interface. For the analysis of the samples, ESI interface in
10 positive ionization (PI) mode was used and the mobile phase contained of solvent (A)
11 water with 0.1% formic acid and solvent (B) acetonitrile with 0.1% formic acid using
12 gradient as follows: Initial conditions 70 % A, kept constant for 1 min, decreased to
13 40 % in 4 min, decreased to 0 % in 4 min, returns to the initial conditions after 1 min
14 and re-equilibration time was set at 2 min. The flow rate was 0.5 mL/min and the
15 column temperature was set at 40 °C. Mass parameters such as drying gas, nebulizing
16 pressure, capillary voltage and fragmentation voltage were 10 L/min at 200 °C, 100
17 psi, 4500 V and 5 V, respectively. Quantitative analysis was performed in selected ion
18 monitoring (SIM) mode and for each compound the precursor molecular ion [M + H],
19 and at least one confirming ion was acquired (Table 2). For the identification of the
20 analytes both the retention time (within 2.5 %) and mass spectrum were matched with
21 the standards (Kosma et al., 2014).

22 Next, for further confirmation of positive findings of metformin and guanyurea,
23 liquid chromatography coupled to a high resolution Orbitrap mass spectrometer was
24 used (Thermo Fischer Scientific, Bremen, Germany). Accela AS autosampler, Accela
25 Pump and a Thermo hypersil gold column (150 \times 2.1 mm, 5 μ m) were used for the

1 separation of the compounds. Capillary voltage, tube lens voltage, sheath gas flow
2 rate, auxiliary gas flow rate and capillary temperature were set at 40 V, 110 V, 60
3 arbitrary units (au), 20 au and 350 °C, respectively. Full scan acquisition over a mass
4 range of 120–500 Da was performed at spray voltage 3.2 kV and a resolution of
5 60,000. Data analysis was done by the Thermo Xcalibur 2.1 software package. For the
6 identification of the compounds, their retention time, relative to that of the standards,
7 as well as their accurate mass (theoretical mass was matched with the observed mass,
8 with mass window <5ppm) were taken into consideration. For the analysis in PI mode
9 the mobile phase contained of solvent (A) water with 0.1% formic acid and solvent
10 (B) methanol with 0.1% formic acid using gradient as follows: Initial conditions
11 100% A, decreased to 90% in 2 min, decreased to 60% in 5 min, then decreased to 0%
12 in 2 min, remain 0% for 1 min and finally returns to the initial conditions after 2 min
13 with the re-equilibration of the column set at 3 min. The flow rate was set at 0.45
14 mL/min (Kosma et al., 2014). Elemental composition, retention time, exact and
15 measured accurate mass of the two compounds studied, as well as their MS/MS
16 fragment ions obtained, are given in Table 3. MS/MS experiments were carried out at
17 35 eV.

18

19 **2.4 Validation study**

20 All the validation and quality assurance/quality control studies performed according
21 to Kosma et al. (2014) and are given in Tables 4 and 5. Matrix matched calibration
22 curves were used for quantification, using influent and effluent SPE extracts. Firstly,
23 blank samples of effluent and influent wastewaters was analysed and positive findings
24 were subtracted from the spiked samples. Calibration curves were prepared with each
25 batch of 12 samples. In addition, in every batch of samples, two quality control (QC)

1 samples were injected which were blank wastewater samples (previously analyzed)
2 spiked at LOQ and 10 times the LOQ level, respectively. QC recoveries were
3 considered satisfactory for all the tested samples and were used for concentration
4 calculation.

5 Limits of detection (LODs), limits of quantification (LOQs), precision and signal
6 suppression are given in Table 4. LODs were 3.9 ng/L and 4.6 ng/L in distilled water,
7 7.8 ng/L and 6.2 ng/L in influent wastewater and 5.1 ng/L and 9.8 ng/L, in effluent
8 wastewater, for metformin and guanyurea, respectively. For the evaluation of ion
9 suppression or enhancement in the effluents, Eq. (1) was applied. According to Eq
10 (1), the peak areas from the native analytes in the sample (area blank) were extracted
11 from the peak areas of spiked wastewater extracts (area matrix) and compared to the
12 peak areas resulting from the solvent (area solvent: methanol–water 50:50, v/v) spiked
13 at the same level (Kosma et al., 2014). Both compounds presented signal suppression,
14 which means that the signal intensity of the analytes decreased due to the ionization of
15 various coeluting substances (see Table 4).

16

17 $\% \text{ suppression/enhancement} = 100 - (((\text{area matrix} - \text{area blank}) * 100) / \text{area solvent})$ (1)

18

19 Mean recoveries are given in Table 5. Recoveries of the target compounds were
20 determined for different matrixes (distilled, influent and effluent wastewaters) by
21 spiking the samples with the analytes at concentrations of 0.2 and 2 $\mu\text{g/L}$. As influents
22 and effluents might contain already the target analytes, concentrations of the
23 respective unspiked samples were subtracted from concentrations of the spiked
24 samples and then divided by the spiked level. Mean recoveries ranged between 38.3
25 % in distilled water for metformin and 59.4 % in effluent wastewater for guanyurea,

1 when spiking with 0.2 µg/L and between 40.7 % in distilled water for metformin and
2 62.2 % in influent wastewater for metformin, when spiking with 2 µg/L.

3

4 **3. Results and discussion**

5 *3.1 Occurrence of metformin and guanylurea in WWTPs and removal efficiencies*

6 In the present study, the occurrence of metformin, one of the most prescribed drugs
7 worldwide, in 64 influent and effluent wastewater samples was investigated.
8 Furthermore, the detection of the polar transformation product of metformin,
9 guanylurea, took place, since it is known to be very recalcitrant and formed during
10 wastewater treatment (Oosterhuis et al., 2013; Sheurer et al. 2012). The samples were
11 collected over the four seasons of a year (autumn 2010, winter 2011, spring 2011 and
12 summer 2011) in eight Greek WWTPs. Table 6 indicates the percentage of positive
13 findings, as well as the range and average concentrations of the selected compounds
14 in wastewaters.

15 As it can be seen in Table 6 both compounds were present in the influents. Metformin
16 was a very abundant compound in the influents found in the 97 % of the samples
17 analyzed. Influent concentrations of metformin ranged in municipal WWTPs from bql
18 to 573 ng/L, presenting maximum concentrations in the WWTPs of Veroia (496 ng/L)
19 and Arta (357 ng/L), while the lowest concentrations were observed in the WWTPs of
20 Preveza (38 ng/L) and Agrinio (54 ng/L). In the Hospital WWTP concentrations were
21 found between bql and 1167 ng/L demonstrating that hospitals can be considered
22 hotspot sources for pharmaceutical emission in the aquatic environment. The levels
23 obtained are low compared to other WWTPs with higher urban, industrial or medical
24 pressure (Blair et al., 2013b; Oosterhuis et al., 2013; Sheurer et al., 2009, 2012;
25 Trautwein and Kümmerer, 2011; Van Nuijs et al., 2010). In general, the concentration
26 variability of metformin from site-to-site could be attributed to regional prescribing

1 customs and usage fands, population density, contexts of water treatment technology
2 capabilities as well as seasonal and environmental climatic conditions. For example,
3 in German WWTPs which serve much more population (i.e capacity of 220,000 to
4 600,000 habitants-equivalent) than the WWTPs studied in the present work (i.e
5 capacity of 15,000 to 100,000 habitants-equivalent), the concentration of metformin
6 ranged between 18000 and 129000 ng/L (Sheurer et al. 2009; Sheurer et al. 2012;
7 Trautwein and Kümmerer, 2011). In Netherlands, average detected concentrations of
8 metformin were up to 79000 ng/L in the influents of two WWTPs (Oosterhuis et al.
9 2013). According to Oosterhuis et al. (2013) >3.5 % of the Dutch population
10 consumes metformin and its high daily dose is 2000 mg/d, while the consumption of
11 metformin in Netherlands exceeds the average European consumption. In Belgium,
12 metformin influent concentrations (18 WWTPs) measured between 20331 and 94311
13 ng/L (Van Nuijs et al., 2010). In Virginia-USA, Ottmar et al. (2010) developed a
14 model in order to estimate pharmaceutical loadings and concentrations in influent and
15 effluent wastewaters of five WWTPs, taking into consideration the highest predicted
16 mass loadings and the total population of United States as of 2008 (304 million).
17 According to the model, predicted concentrations of metformin ranged between 3490
18 and 88000 ng/L in the influents of five WWTPs. In addition, Blair et al. (2013b),
19 found in the influent of a South Shore Water Reclamation Facility (SSWRF) in the
20 town Oak Creek, in Milwaukee, United States, metformin concentrations ranging
21 between 3200 and 100000 ng/L. SSWRF services the greater area of Milwaukee, has
22 a treatment capacity of 1,135,000 m³/day with an average flow of approximately
23 379,000 m³/day and it consists of preliminary treatment (7 bar screens/grit channels),
24 primary treatment (16 primary clarifiers), activated sludge treatment (28 aeration
25 basins and 24 secondary clarifiers) and chlorine disinfection (25-pass contact

1 channels) (Blair et al., 2013b). As a consequence the concentration of metformin is
2 correlated to the wastewater treatment technology capability, the daily effluent
3 discharge flow (L/day) and the population density of the given site.

4 Furthermore, Shraim et al. (2012) reported higher average concentrations of
5 metformin (15200 ng/L), in the influent of the WWTP of Almadinah Almunawarah,
6 in Saudi Arabia. It is worth noticing that Almadinah Almunawarah WWTP has a total
7 capacity of 300,000 m³/day and in addition to domestic sewage sometimes receives
8 partially treated industrial and medical wastewaters (Shraim et al., 2012).

9 Conversely to previous mentioned findings, comparable concentrations of metformin
10 (between <bqL and 1568 ng/L) to our study was reported in a recent investigation
11 conducted in influents of WWTPs of Coimbra (Portugal) which serves a population
12 close to Ioannina city (~140,000) (Santos et al., 2013).

13 On the other hand, guanylurea was present in the 69 % of the influent samples, at
14 relatively low concentrations ranging between bql and 59 ng/L. This may indicate, in
15 most of the cases, the fact that the long distance between the cities and the WWTPs
16 results in the transformation of metformin by bacteria that already exist in the sewer
17 system (Trautwein and Kümmerer, 2011). As it was reported elsewhere (Trautwein
18 and Kümmerer, 2011), guanylurea is formed by twofold dialkylation at position 1 and
19 oxidative deamination at position 2 of metformin. Similarly to metformin, guanylurea
20 presented lower concentrations in the influents than those reported in previous studies
21 (Sheurer et al., 2012; Trautwein and Kümmerer, 2011). The occurrence of metformin
22 and guanylurea in the influents was also confirmed by the Orbitrap mass analyzer
23 (Fig. 2).

24 In the effluents, metformin was present in 66 % of the analyzed samples, while
25 guanylurea was present in higher percentage (78 %). Concentrations of metformin

1 ranged between bql and 26 ng/L, while guanylurea concentrations ranged between bql
2 and 627 ng/L. In general, metformin dominated in the influents and guanylurea in the
3 effluents, highlighting the strong degree of metformin biotransformation in WWTPs.
4 Effluent ratios of Metformin/Guanylurea ranged from 0.005 to 0.78, whereas influent
5 ratios were inversely related and ranged from 0.88 to 81.3 (Fig. S2). Comparatively to
6 previous findings, metformin was also found in Greek wastewater effluents at much
7 lower concentration values than those in wastewater effluents of other sites. For
8 instance, metformin was present in the effluents of WWTPs in Germany in
9 concentrations ranging from 760 to 26000 ng/L (Sheurer et al., 2009; Sheurer et al.,
10 2012; Trautwein and Kümmerer, 2011). Oosterhuis et al. (2013) reported 1500 ng/L
11 of metformin in the effluents of two WWTPs in Netherlands, whereas Ottmar et al.
12 (2010) estimated that concentrations of metformin ranged between 870 and 44000
13 ng/L in the effluents of five WWTPs. Furthermore, Blair et al. (2013b) detected
14 metformin in concentrations between 640 and 47000 ng/L, in the effluents of a South
15 Shore Water Reclamation Facility (SSWRF) in United States. High average
16 concentrations (3190 ng/L) were also reported by Shraim et al. (2012), in the effluent
17 of the WWTP of Almadinah Almunawarah, in Saudi Arabia. Finally, in accordance to
18 our study, low concentration or even traces were reported in effluent wastewaters in
19 Austria (253 ng/L, Martín et al., 2012) and in Kajang town in Malaysia, (16 ng/L, Al-
20 Odaini et al., 2010), respectively.

21 As regards the hospital influents, in a recent big survey, Santos et al. (2013) detected
22 metformin in the effluents of four different hospital WWTP (a university, a general, a
23 pediatric and a maternity) at concentrations ranging from 4 to 4040 ng/L. The highest
24 concentration was detected in the maternity hospital and this fact may be attributed,

1 according to the authors, to the high consumption rate of metformin among
2 Portuguese population.

3 To sum up, the above mentioned data about occurrence of metformin, translate its
4 consumption pattern and number of the population served by each WWTP and
5 removal efficiencies of each WWTP, and as expected higher metformin values were
6 obtained for WWTPs that serve higher populations.

7 As far as guanylyurea concerns, in a recent study (Oosterhuis et al., 2013) it was
8 detected in the effluents of one small WWTP in Netherlands, in average
9 concentrations of 48000 ng/L. Furthermore, in the tallest WWTP in Southern
10 Germany, Trautwein and Kümmerer (2011) detected in the influents and in the
11 effluents 400 ng/L and 1860 ng/L of guanylyurea, respectively.

12 From the results obtained in the present study, in most of the cases, the increase of
13 guanylyurea concentrations in the effluents, matches the decrease of metformin
14 concentration in the effluents. This statement is in agreement with those reported by
15 Scheurer et al. (2012) where the removal of metformin in five WWTPs in Germany
16 was directly related to the formation of guanylyurea. Guanylyurea was found to be very
17 stable in photolysis and as a consequence in sunlight or technical irradiation in
18 advanced water treatment, indicating that it is not expected to be eliminated in
19 wastewater and advanced water treatment (Trautwein and Kümmerer, 2011).

20 Box plots indicating removal efficiencies of metformin and the formation of
21 guanylyurea in the eight WWTPs during the monitoring period are calculated
22 according to Kosma et al. (2014) and are shown in Fig. 3. Removal of metformin in
23 many cases was not feasible to be estimated due to the fact that it was not detected in
24 the effluents. In the cases that metformin and guanylyurea concentrations were below
25 the limit of quantification, the removal/formation was calculated by matching the

1 concentration with LOQ/2. Removal efficiencies of metformin ranged between 78 %
2 and 99 %. The WWTPs were operating normally during all sampling events, and
3 generally comparable removal efficiencies were observed in almost all of them,
4 indicating small variations with respect to different capabilities of the treatment
5 technologies (clarification, activated sludge, P removal etc) and hydraulic retention
6 times (HRT) (1.5-39 h depending on the technology). The lowest removal was
7 observed in Preveza WWTP in winter, while the highest in Grevena WWTP, also in
8 winter period. These values were similar to those reported for WWTPs sited in
9 Europe and USA that included primary and biological treatment (Santos et al., 2013;
10 Scheurer et al., 2009, Trautwein and Kümmerer, 2011). Concerning the WWTP of
11 Preveza, the average removal efficiencies were around 80%. It is difficult to reach a
12 clear quantitative conclusion on removal efficiency of this WWTP compared to the
13 others, since as Verlicchi et al., (2012) have previously pointed out, many factors such
14 as configuration of the specific WWTP (C, N and P removal, biological reactor
15 shape), operating conditions (SRT, HRT, pH, T, redox conditions, etc.) and feeding
16 mode were shown to have pronounced effects on the efficiency of WWTPs. Despite
17 of being less efficient than the other tested WWTPs, metformin removal values were
18 still much higher than those cited by Blair et al. (2013b) (median removal efficiencies
19 24 %) for North American WWTPs that included preliminary, primary and biological
20 treatment and chlorine disinfection.

21 On contrary to removals of metformin, noticeable differences were observed for the
22 formation of guanylurea through the tested WWTPs. Formation ranged between 17
23 and 95%, observing the highest in hospital of Ioannina city, Grevena and Veroia
24 WWTPs. The lowest values were observed in Agrinio WWTP which was about a half
25 of those obtained in almost all the rest of WWTPs. Variability ranges of guanylurea in

1 the effluents reflected that, in general, its formation throughout the WWTPs can be
2 affectively minimized or promoted by a number of factors (i.e temperature changes,
3 microbial activity and biological reactions, HRT, sludge retention etc) and therefore it
4 is difficult to explain pointedly the observed differences.

5 In general, the high abundance of guanyurea in effluent samples demonstrates the
6 high degree of metformin biotransformation in WWTPs. However, besides
7 biodegradation, adsorption to sludge (although it is expected to be low for polar
8 compounds such as metformin) or some other abiotic elimination pathways of
9 metformin may exist in WWTPs. For instance, Donia and Awad (1995), reported the
10 faculty of metformin to form stable complexes with heavy metals under specific
11 occasions, while Collin et al. (2004) reported the formation of eleven oxidation end-
12 products in radical induced oxidation of metformin in aqueous aerated and deaerated
13 solutions. Furthermore, as in the case of guanyurea, the elimination of metformin
14 could not be attributed in photolysis process (Trautwein and Kümmerer, 2011).
15 Another important issue for the removal of metformin is the passage through the
16 chlorination step, where it has been proven to be very effective (Scheurer et al., 2012).
17 In the present study, the use of chlorine in the final disinfection step is applied in all
18 WWTPs, even in the WWTP of Kozani, were basically three ultraviolet disinfection
19 filters are used, but are supported also by the addition of NaClO. Finally, we could
20 say that high metformin concentrations could be an index for untreated wastewaters,
21 while low metformin and high guanyurea concentrations could be an index for
22 treated wastewaters (Scheurer et al., 2012).

23 Oosterhuis et al. (2013) related the consumption of metformin, and as a consequence
24 of guanyurea, to recovery in wastewaters (influent and effluent) and surface waters,
25 in the city Ootmarsum of Netherlands. They concluded that 82 ± 52 % of the degraded

1 metformin can be recovered as guanylurea in the effluents, considering the molecular
2 weight ratio of guanylurea and metformin. Furthermore, 87 % and 187 % of the
3 effluent load of guanylurea and metformin can be recovered in the surface water,
4 respectively. This may attributed to the fact that daily concentrations of metformin
5 reach four days retention in surface waters and as a consequence its concentrations
6 come from emissions with different ratio of metformin and guanylurea the previous
7 four days.

8

9 **3.2. Seasonality**

10 In order to examine seasonal trends in metformin and guanylurea concentrations,
11 samples taken in autumn, winter, spring and summer were compared. According to
12 Fig. 4A, the highest values were observed in hospital influent of Ioannina city and
13 Grevena WWTP influent in winter and in Veroia WWTP in autumn (1167, 573 and
14 496 ng/L, respectively). On the opposite, the minimum values were found in autumn,
15 winter and spring for Arta WWTP, Preveza WWTP and Kozani WWTP, respectively.
16 Considering all the measurements during the four sampling periods (Fig. 4A), a clear
17 tendency in metformin concentrations of the untreated wastewaters could not be
18 established. These data are in agreement with the prescription and use patterns of
19 metformin since antidiabetics are used for therapeutic reasons all over the year.
20 Occurrence of guanylurea in effluents (Fig. 4B) was proportionally correlated with the
21 concentrations of metformin in the influents, observing the highest value in hospital
22 influents in winter.

23 As regards the removal efficiencies, seasonal variations in treatment of metformin
24 throughout the WWTPs were minimal in almost all the tested WWTPs (Fig. 5A).
25 Considering the exact date of sampling, average day temperatures were 13 °C, 9 °C,

1 19 °C and 26 °C for autumn, winter, spring and summer, respectively. Generally
2 speaking, removal of many pharmaceuticals could be less effective in lower
3 temperatures since at these conditions microbial activity and biological reactions are
4 reduced (Verlicchi et al., 2012). This trend was clearly observed in Preveza WWTP,
5 which achieved a removal close to 80% for both autumn and winter seasons but was
6 not noticed in the other WWTPs.

7 On the other hand, discrepancies were observed for formation of guanylurea between
8 the four sampling periods (Fig. 5B). For example, although, formation of guanylurea
9 decreased notably (~20%) in effluents of Agrinio WWTP and hospital WWTP in
10 autumn, this pattern was not observed for the same WWTPs in winter season. In
11 addition, higher formation was found for Veroia WWTP and Grevena WWTP in
12 winter and autumn and lower in spring and summer. The aforementioned data showed
13 a lack of clear seasonal tendency. However, further investigations would be
14 interesting in order to complete the conclusions here achieved and evaluate if there
15 are any environmental conditions (i.e temperature changes) that could affect their
16 occurrence and removal or formation.

17

18 **3.3 Environmental Risk Assessment**

19 The occurrence of metformin and guanylurea in surface waters (Al-Odaini et al.,
20 2010; Blair et al., 2013a; Kolpin et al., 2002; Martín et al. 2012; Vulliet and Cren-
21 Olivé, 2011) comprises necessary to estimate their potential ecotoxicological risk in
22 environment. For this reason, risk quotients (RQs) were determined in the effluent
23 wastewaters, for fish, daphnids and algae. Available information regarding
24 ecotoxicological effects of metformin and guanylurea is very limited (ter Laak and
25 Baken, 2014). For guanylurea it was not feasible to estimate RQs due to the fact that

1 there are no available data about its acute or chronic toxicity. RQs of metformin
2 calculated based on the acute toxicity data since chronic toxicity data were not
3 available (Table 7). RQs are defined by the US EPA. Further details on the calculation
4 of RQs can be found elsewhere (Kosma et al., 2014).

5 According to literature, no algal growth and microbial respiration or change in
6 bacterial communities in streams biofilms, was provoked by metformin (Rosi-
7 Marshall et al., 2013). Metformin and guanylurea found to be absorbed into plants,
8 leafs, seeds, grains, fruits, vegetables, and beans and produced negative effects on
9 growth and development of carrots in soil concentration between 6 and 10 mg/kg dw
10 (Eggen and Lillo, 2012).

11 Blair et al. (2013a) found that metformin posed low ecological risk in surface water.
12 In another study, Carlsson et al. (2006) reported that there are no acute environmental
13 effects for metformin, while no conclusion can be made for chronic toxicity effects
14 since there is a lack of experimental data. In addition, Escher et al. (2011) reported no
15 ecotoxicological effect for metformin. In another recent study, Santos et al. (2013)
16 calculated the hazard quotients (HQs) for metformin in effluent wastewaters for fish,
17 daphnids and algae and resulted to pose low risk. In contrast, Hanisch et al. (2004)
18 resulted in a potential risk for metformin hydrochloride in the aquatic environment
19 due to the fact that the ratio between PEC/PNEC was about 1 or higher.

20 Trautwein and Kümmerer (2011) reported that guanylurea presented no toxic effects
21 on the bacterial community in a manometric respiratory test at a concentration of 11.9
22 mg/L. Furthermore, Gartiser et al. (2012) studied the mutagenic activity of metformin
23 and guanylurea after testing inherent biodegradability and/or after drinking water
24 ozonation experiments and concluded that none of the compounds indicated
25 mutagenic effect.

1 Fig. 6 represents RQ values calculated for metformin for acute toxicity, in three
2 trophic levels. As it can be seen in Fig. 6, in all effluents $RQ < 0.001$ which means
3 that low risk is suspected for the three trophic levels in the receiving water bodies of
4 the eight WWTPs. This was due to the fact that low effluent concentrations of
5 metformin were detected in all cases since its removal rates were very high. To the
6 authors knowledge this was the first time that ecotoxicology of metformin in the
7 effluents of WWTPs was assessed in Greek aquatic ecosystem. Furthermore, since
8 concentrations of guanylurea in wastewater effluents are known to be higher than
9 metformin levels, information about its biological activity and ecotoxic effects will be
10 very useful for further research.

11

12 **4. Conclusions**

13 Application of an analytical method based on SPE and followed by LC-UV/Vis-
14 ESI/MS, provided wider knowledge about the occurrence and fate of metformin and
15 its transformation product guanylurea, in the influents and effluents of eight WWTPs
16 in Greece. Further confirmation of positive findings of the two compounds took place
17 by means of LTQ Orbitrap mass analyzer. Both compounds have gained little
18 attention until now and this was the first time that their occurrence was investigated in
19 Greek aquatic system. According to the results the following conclusions can be
20 reached:

- 21 • The extensive work demonstrated the occurrence of these two compounds in
22 the influents and the effluents of all WWTPs.
- 23 • Concentrations ranged between bql and 1167 ng/L in the influents and
24 between bql and 627 ng/L, in the effluents.

- 1 • High concentrations of metformin in the influents resulted in high
2 concentrations of guanyurea in the effluents.
- 3 • Removal efficiencies of metformin were up to 99 %, while formation of
4 guanyurea reached 95 %.
- 5 • Lack of a clear seasonal tendency in the occurrence and removal or formation
6 was observed. However, further research in these issues is warranted and
7 needed.
- 8 • Elimination of metformin can be mainly related to its biological formation to
9 guanyurea but also some other abiotic elimination pathways may exist such as
10 the faculty of metformin to stable complexes with heavy metals. In addition,
11 further transformation of metformin or guanyurea may also occur following
12 different transformation routes.
- 13 • Ecotoxicology assessment of metformin in the effluents took place and the
14 results indicated low acute risk for water bodies. Moreover, further studies are
15 strongly recommended in order to estimate acute (EC_{50} or LC_{50}) and chronic
16 (NOEC) toxicity data of guanyurea, since it is continuously released in water
17 bodies and in many cases in relatively high concentrations with unknown
18 effects.

20 **Acknowledgments**

21 This research has been co-financed by the European Union (European Social Fund -
22 ESF) and Greek national funds through the Operational Program "Education and
23 Lifelong Learning" of the National Strategic Reference Framework (NSRF) -
24 Research Funding Program: Heracleitus II. Investing in knowledge society through
25 the European Social Fund. Furthermoere, the authors would like to thank the Unit of

1 Environmental, Organic and Biochemical high resolution analysis-ORBITRAP-LC-
2 MS of the University of Ioannina for providing access to the facilities.

3

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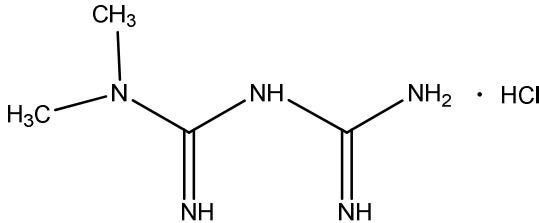
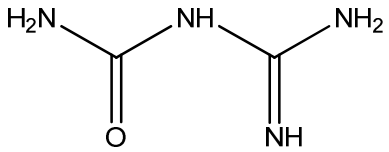
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- 25

Table 1 - Characteristics of metformin hydrochloride and gualynurea

Compound	Molecular formula	Chemical formula	MW	pKa	Log K_{ow}	Henry's law constant (atm/m ³ /M) ^c	Aqueous solubility (g/L) (25 °C) ^c
Metformin hydrochloride	C ₄ H ₁₁ N ₅ ·HCl		165.6	10.4 ^a /12.3 ^a /12.1 ^b	-1.43 ^b /-4.3 ^c (at pH 7.4)	7.6 x 10 ⁻¹⁶	1000
Gunylurea	C ₂ H ₆ N ₄ O		102.1	8.0 ^a /13.5 ^a	-2.51 ^c (at pH 7.4)	2.47 x 10 ⁻¹⁵	1000

^aSheurer e al., 2012, ^bThomas et al., 2010, ^cter Laak and Kirsten Baken, 2014

Table 2 - Instrumental parameters for metformin and guanylurea in LC-ESI-MS

Compounds	Polarity (ES)	Time (min)	m/z ions	Relative ion intensity %
Metformin	+	4.162	130 , 60	100, 38.67
Guanylurea	+	4.261	103 , 60	100, 25.12

Quantitation ions in bold

Table 3 - Retention time, exact and accurate mass information, mass error deviation, double bond equivalent number (DBE) and MS/MS data of metformin and guanylurea in Orbitrap MS.

Compounds	RT (min)	Elemental Composition	Exact mass (theoretical)	Accurate/nominal mass (detected)	Error (ppm)	DBE
Guanylurea	0.83	C ₂ H ₇ N ₄ O	103.0614	103.0610	0.437	1.5
Frag. Ion 1		C ₂ H ₄ N ₃ O	86.0349	86.0345	-4.514	2.5
Metformin	0.94	C ₄ H ₁₂ N ₅	130.1087	130.1092	3.674	1.5
Frag. Ion 1		C ₄ H ₉ N ₄	113.0822	113.0820	-1.529	2.5
Frag. Ion 2		C ₃ H ₁₀ N ₃	88.0869	88.0872	3.134	0.5

Table 4 - Limits of detection (LODs) and limits of quantification (LOQs) in distilled water, influent and effluent wastewater. Precision (RSD in the same day (RSD_r) and RSD in different days (RSD_R)) and signal suppression/enhancement (%), in effluent wastewater.

Compound	LOD (ng/L)			LOQ (ng/L)			RSD_r (%) (n=5)	RSD_R (%) (n=5)	% signal suppression (+)
	DW	WWI	WWE	DW	WWI	WWE			
Metformin	3.9	7.8	5.1	12.9	25.1	16.7	9.6	13.3	9.9
Guanylurea	4.6	6.2	9.8	14.1	19.6	28.3	7.1	7.9	5.8

Table 5 - Mean recoveries (%) and RSD (%) in distilled water, influent and effluent wastewater after spiking with 0.2 and 2 $\mu\text{g/L}$ (n=3).

Compound	% Recoveries after spiking with 0.2 $\mu\text{g/L}$ (RSD %)			% Recoveries after spiking with 2 $\mu\text{g/L}$ (RSD %)		
	DW	WWI	WWE	DW	WWI	WWE
Metformin	38.3 (7.7)	58.4 (9.0)	53.1 (8.3)	40.7 (7.1)	62.2 (8.9)	57.0 (8.0)
Guanylurea	44.4 (5.8)	57.9 (5.0)	59.4 (6.3)	47.3 (6.8)	60.1 (9.5)	57.4 (12.0)

Table 6 - Ranges of concentrations and corresponding mean values in brackets of metformin and guanylurea found in the influents and effluents of the eight WWTPs.

Compound	Influent wastewater (n=32) (ng/L)								
	% PF	Ioannina Hospital	Ioannina City	Arta	Preveza	Agrinio	Grevena	Kozani	Veroia
MFM	97	bql-1167 (346)	103-249 (174)	bql-357 (217)	n.d.-38 (bql)	bql-54 (bql)	bql-573 (207)	bql-251 (130)	85-496 (321)
GNL	69	n.d.-84 (29)	bql-39 (bql)	n.d.-33 (bql)	n.d.-bql	n.d.-bql	n.d.-33 (bql)	n.d.-28 (bql)	n.d.-59 (bql)
Compound	Effluent wastewater (n=32) (ng/L)								
	% PF	Ioannina Hospital	Ioannina City	Arta	Preveza	Agrinio	Grevena	Kozani	Veroia
MFM	66	n.d.-26 (bql)	bql-23 (bql)	n.d.-18 (bql)	n.d.-bql	n.d.-bql	n.d.-bql	n.d.-19 (bql)	n.d.-bql
GNL	78	bql-627 (188)	83-154(96)	n.d.-136 (51)	n.d.-26 (bql)	n.d.-33 (bql)	bql-328 (116)	n.d.-84 (50)	n.d.-237 (133)

P.F: Positive findings, MFM: Metformin, GNL: Guanylurea

Table 7 - Acute toxicity (mg/L) data of metformin on fish, daphnids and algae (lower values indicated in bold fonts).

Compound	Fish			Daphnids			Algae		
	EC50 (mg/L)	test organism	References	EC50 (mg/L)	test organism	References	EC50 (mg/L)	test organism	References
Metformin	3,32x10 ⁴		Sanderson et al., 2003	1345	<i>Daphnid</i>	Sanderson et al., 2003	511		Sanderson et al., 2003
	> 100 * (<96h)		Sanderson and Thomsen, 2009	64 * (48h)	<i>Daphnid</i>	Sanderson and Thomsen, 2009	320* (24h)		Sanderson and Thomsen, 2009
	>982*	<i>L. macrochirus</i>	Montforts, 2005	130	<i>D. magna</i>	Montforts, 2005	110		Santos et al., 2013

*indicates LC50 (mg/L) value

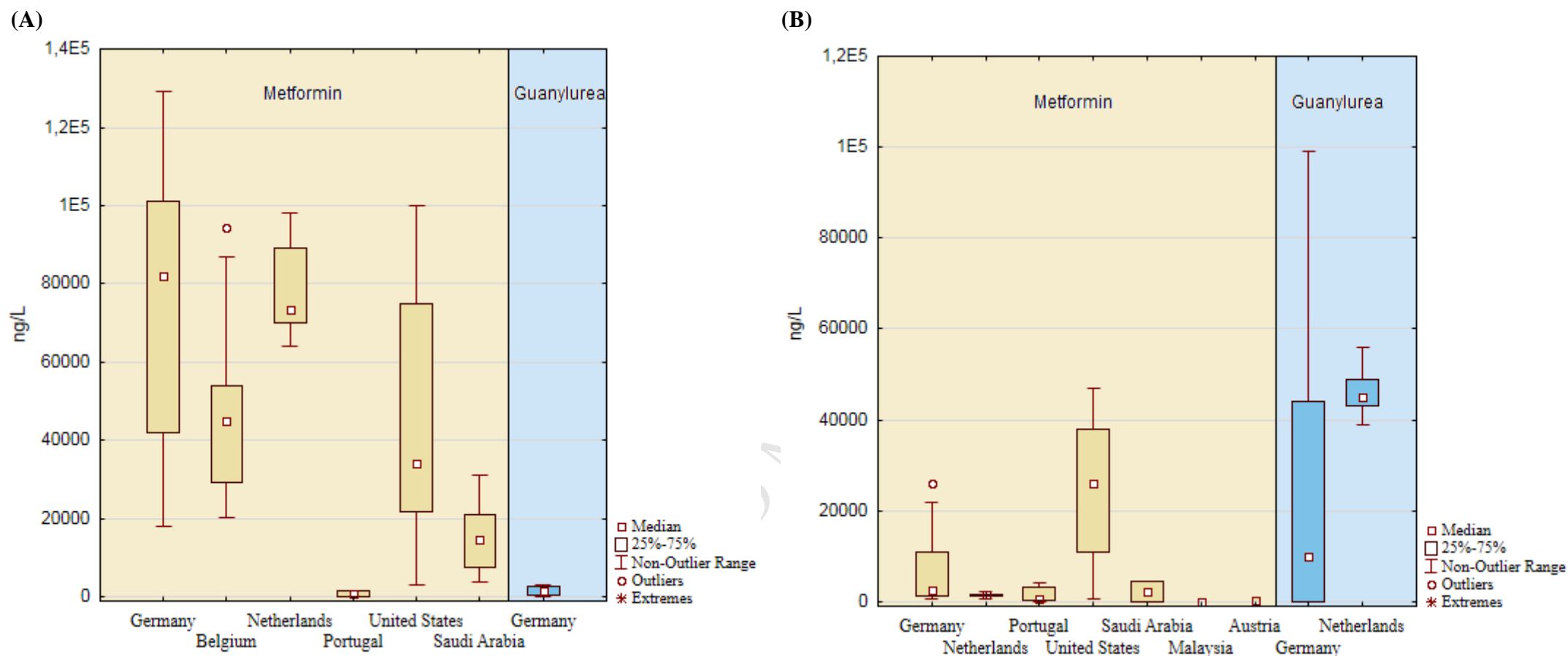


Fig. 1 - Concentration levels of metformin and guanylurea in (A) the influents and (B) the effluents of WWTPs, worldwide (Germany: Scheurer et al., 2009; Trautwein and Kümmerer, 2011; Scheurer et al., 2012, Belgium: Van Nuijs et al., 2010, Netherlands: Oosterhuis et al., 2013, Portugal: Santos et al., 2013, United States: Blair et al., 2013b, Saudi Arabia: Shraim et al., 2012, Malaysia: Al-Odaini et al., 2010, Austria: Martín et al., 2012).

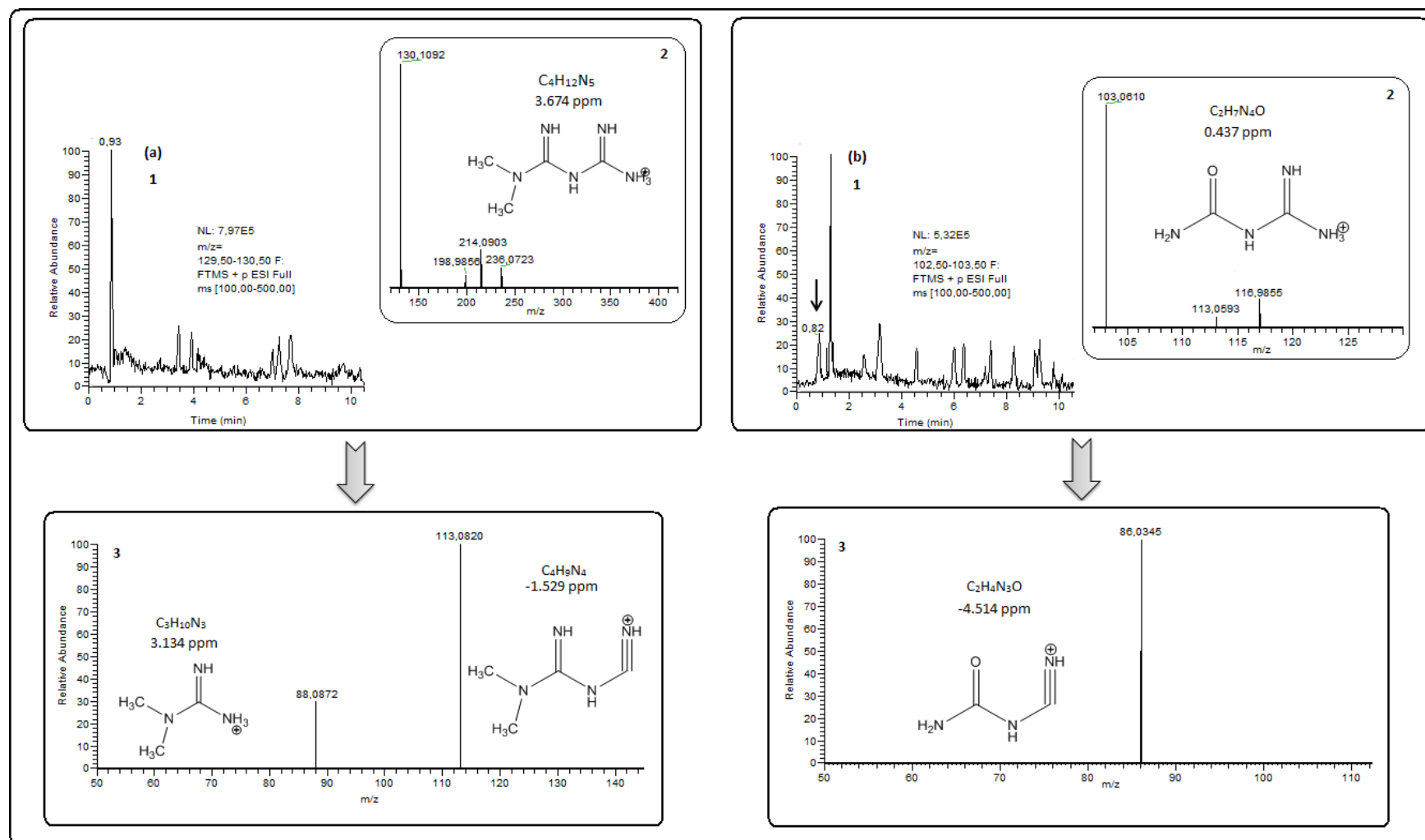


Fig. 2 - (1) Chromatogram, (2) full scan accurate mass product ion spectrum and (3) MS/MS data obtained using Orbitrap MS targeting the corresponding ions, for (a) metformin and (b) guanylurea, respectively, found in the influent of Ioannina hospital WWTP in winter.

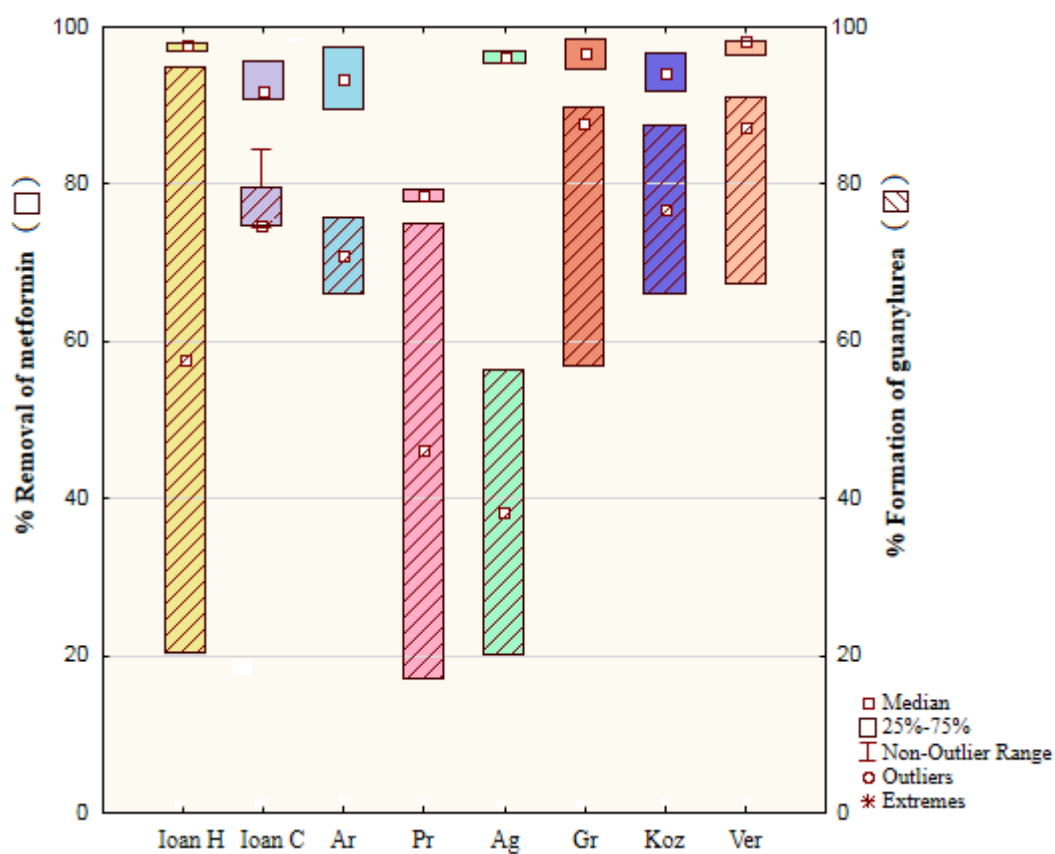


Fig. 3 - Box and Whisker graphs showing the removal efficiencies (%) of metformin and the formation of guanyurea (%), in the eight WWTPs (Ioan C: Ioannina City, Ioan H: Ioannina Hospital, Ar: Arta, Pr: Preveza, Ag: Agrinio, Gr: Grevena, Koz: Kozani, Ver: Veroia).

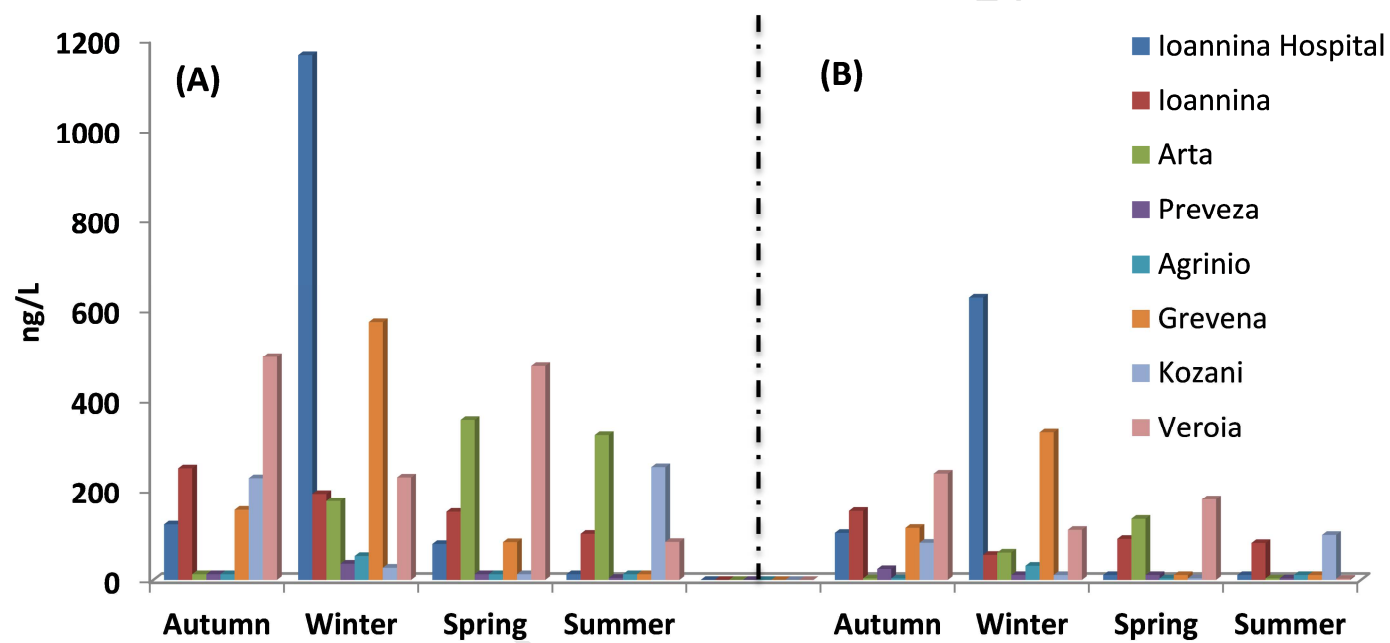


Fig. 4 - Seasonal occurrence of (A) metformin in the influents and (B) guanylurea in the effluents.

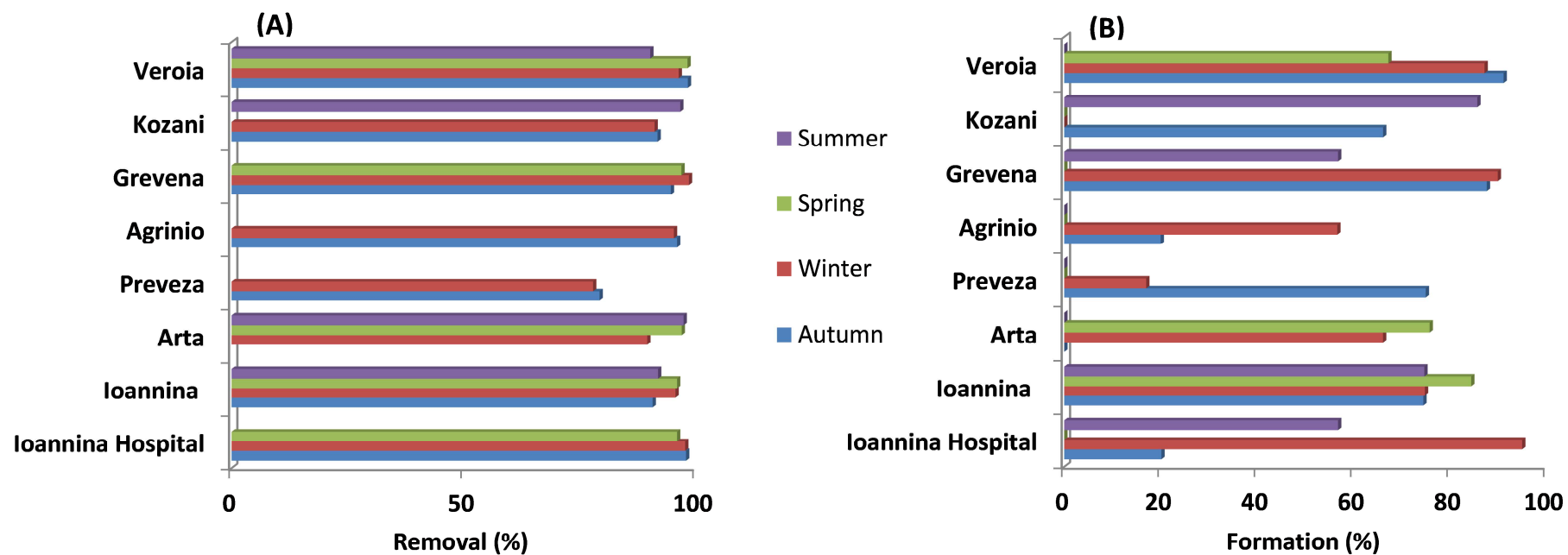


Fig. 5 - (A) Seasonal removal efficiency (%) of metformin and (B) Seasonal formation (%) of guanylurea.

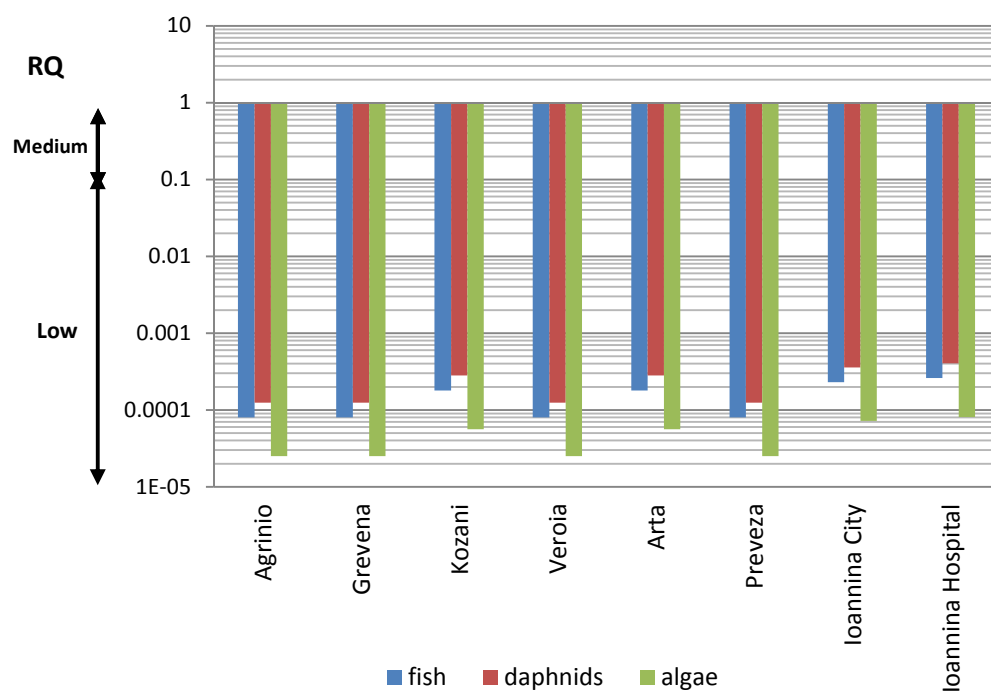


Fig. 6 - Risk quotients for metformin in effluent wastewaters of the eight WWTPs, for acute toxicity.

HIGHLIGHTS

- Comprehensive study of metformin and guanylurea in eight WWTPs in Greece.
- Further confirmation by means of LTQ Orbitrap MS.
- The Metformin/ Guanylurea ratio appears to be variable.
- Concentration of guanylurea mostly exceeds its parent metformin in the effluents.
- Risk quotients for metformin were lower than 1.

Supplementary Data (SD)**Comprehensive Study of the Antidiabetic Drug Metformin and its Transformation Product Guanylurea in Greek Wastewaters**

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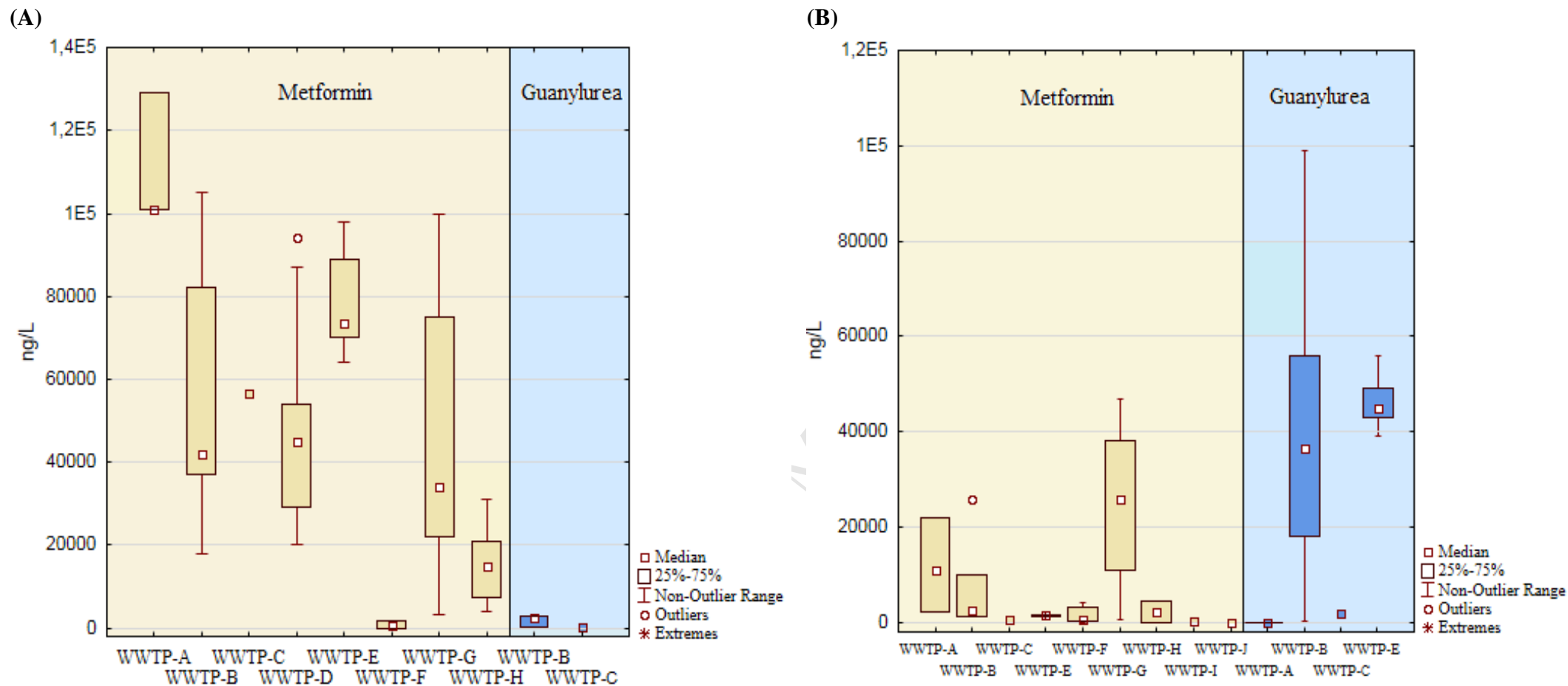


Fig. S1 - Concentration levels of metformin and guanylhurea in (A) the influents and (B) the effluents of WWTPs, worldwide.

WWTP-A: Three WWTPs in Germany (Scheurer et al., 2009).

WWTP-B: Five WWTPs in Germany. The first (capacity 20,000 Population Equivalent-PE) applies mechanical and biological wastewater treatment and serves about 15,000 inhabitants. The second (capacity 875,000 PE) applies mechanical treatment with additional phosphate

precipitation, followed by biological treatment with a denitrification/nitrification unit with downstream trickling filters and serves about 350,000 inhabitants. The third (PE 75,000) and the fourth (12,000 PE) serve about 35,000 and 12,000, respectively. The fifth treats 380,000 PE with 220,000 residents living in the catchment area and the conventional mechanical and biological treatment is followed by the addition of 5-20 mg/L powdered activated carbon (Scheurer et al., 2012).

WWTP-C: Tallest STP in southern Germany with 600,000 PE (Trautwein and Kümmerer, 2011).

WWTP-D: Eighteen WWTPs in Belgium (Van Nuijs et al., 2010).

WWTP-E: Two WWTPs in Netherlands. A village with 7,220 inhabitants and a city with 157,052 inhabitants. Both consist of a conventional activated sludge system (CAS) with biological phosphate removal and nitrogen removal via nitrification/denitrification (Oosterhius et al., 2013).

WWTP-F: Influent from a WWTP in Portugal (213,000 PE) with primary and secondary treatment operating with trickling filters (receiving urban wastewaters including domestic wastewaters and hospital effluents). Effluents from four hospitals: University hospital with 1456 beds (serves approximately 430,000 inhabitants), general hospital with 350 beds (serves approximately 369,000 inhabitants), pediatric hospital with 110 beds (serves approximately 90,000 inhabitants) and a maternity hospital with 96 beds (serves approximately 507,000 inhabitants) (Santos et al., 2013).

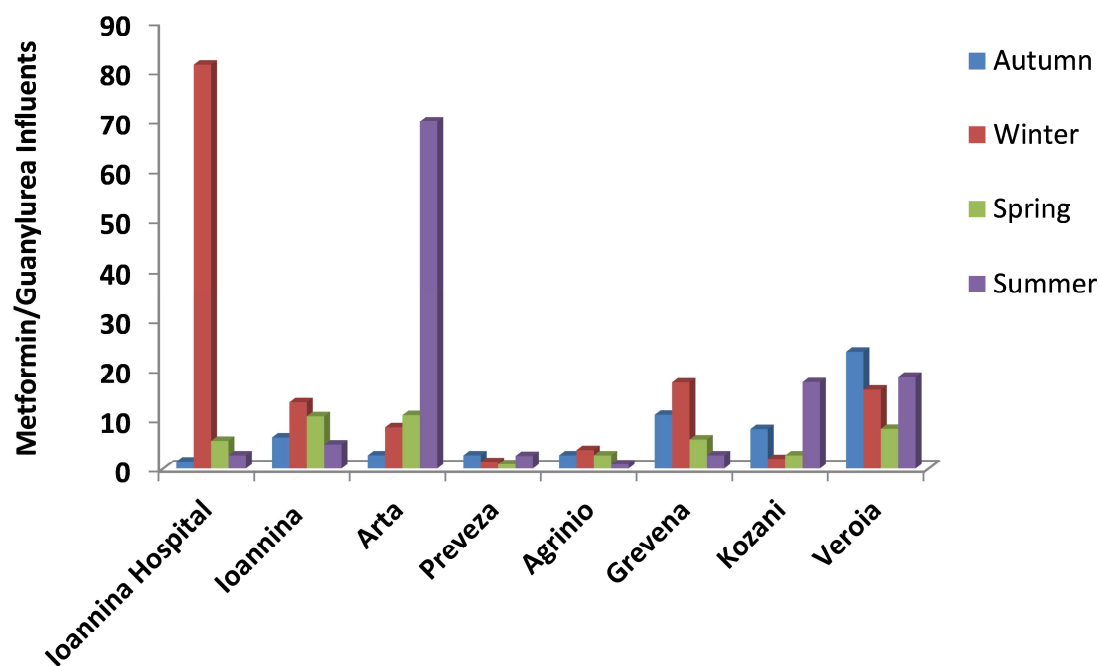
WWTP-G: A SSWRF services the greater area of Milwaukee (United States), has a treatment capacity of 1,135,000 m³/day and it consists of preliminary treatment (7 bar screens/grit channels), primary treatment (16 primary clarifiers), activated sludge treatment (28 aeration basins and 24 secondary clarifiers) and chlorine disinfection (25-pass contact channels) (Blair et al., 2013b).

WWTP-H: STP of Almadinah Almunawarah (Saudi Arabia) with total capacity of 300,000 m³/day. The plant undertakes tertiary treatment and sometimes in addition to domestic sewage, receives partially-treated industrial and medical wastewater (Shraim et al., 2012).

WWTP-I: WWTP in the region of Linz in Austria (Martín et al., 2012).

WWTP-J: STP in Kajang town (Malaysia) operating an extended aeration with 2,785 EP (Al-Odaini et al., 2010).

(A)



(B)

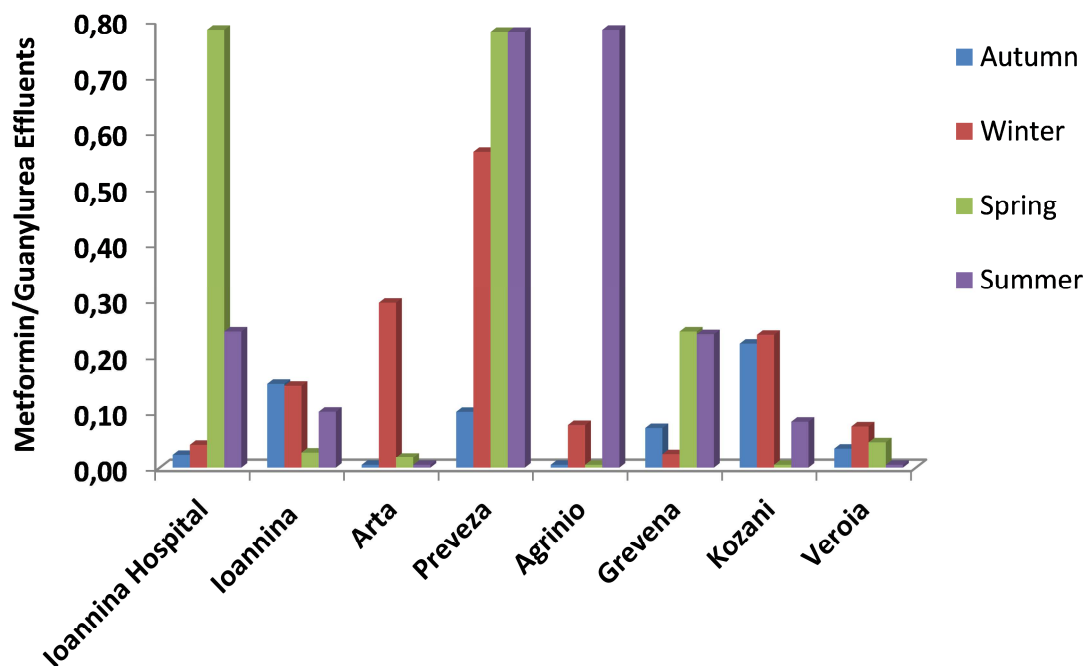


Fig. S2 - Ratios of Metformin/Guanylurea in (A) the influents and (B) the effluents of the WWTPs.

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